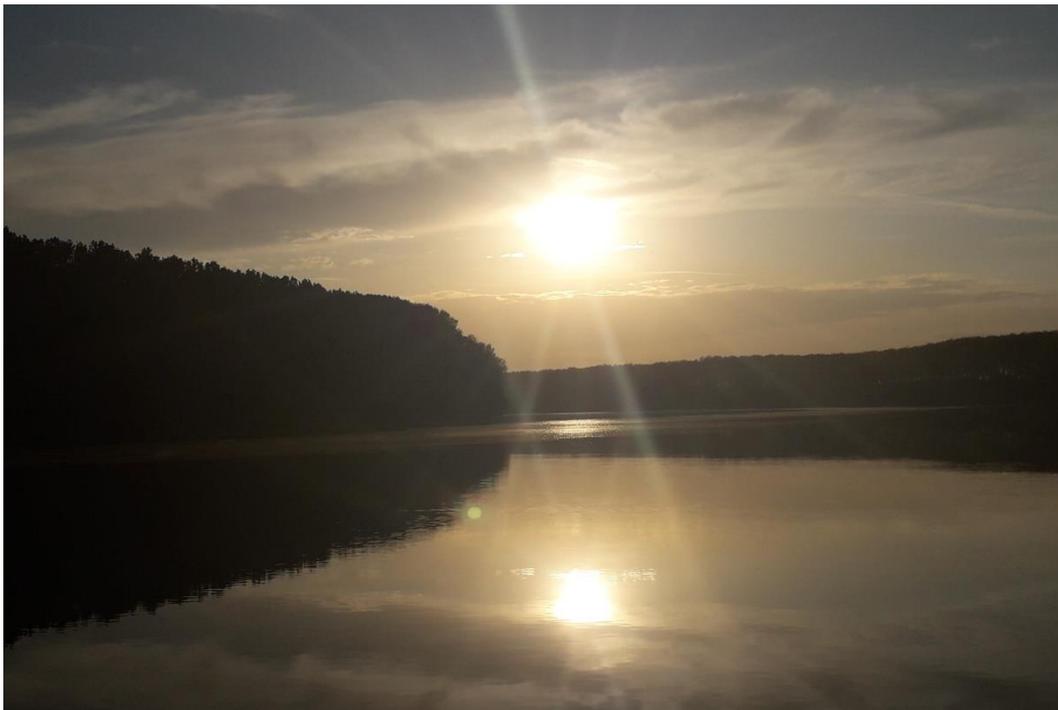


SAVA AND DRINA RIVER CORRIDORS INTEGRATED DEVELOPMENT PROJECT (SDIP)

Resettlement Plan
(RP)

For Popova Bara sub-project



Republic of Serbia
Ministry of Agriculture, Forestry and water management
Directorate for Water Management
Project Implementation Unit (PIU)

Draft

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Acronyms

| | |
|--------|--|
| BoE | Beneficiary of Expropriation |
| CC | Constitution Court of Serbia |
| CFD | Central Feedback Desk |
| CM | Cadastre Municipality |
| DfW | Directorate for Water of Republic of Serbia |
| ESS | Environmental and Social Standards of World Bank |
| ESS5 | ESS on Land Acquisition, Restrictions on Land Use and Involuntary Resettlement |
| GoRS | Government of Republic of Serbia |
| GM | Grievance Mechanism |
| GRS | Grievance Redress Service |
| ISRBC | The International Sava River Basin Commission |
| LM | Local Municipalities |
| LGD | Local Grievance Desk(s) |
| MoAFWM | Ministry of Agriculture, Forestry and Water Management of Republic of Serbia |
| MoF | Ministry of Finance of Republic of Serbia |
| PAP | Project Affected People |
| PIU | Project Implementation Unit |
| PWMC | Public Water Management Company |
| RPF | Resettlement Policy Framework |
| RP | Resettlement Plan (this document) |
| RS | Republic of Serbia |
| RSD | Serbian currency - Dinar |
| SDIP | Sava and Drina river corridors integrated development project (this Project) |
| SEL | Stakeholder Engagement Log |
| SSS | Social Safeguard Specialist |
| WB | World Bank |

Definitions glossary

| | |
|--------------------------|---|
| Cut-off date | Date set by this RP in accordance with the ESS5. If a person(s) should occupy the project area after the Cut-off date, they will not be eligible for compensation and/or resettlement assistance. Similarly, fixed assets (such as built structures, crops, fruit trees, and woodlots) established after the Cut-off date will not be compensated. Before the census, the PIU will publish an information about the Cut-off date in local newspapers, informing all owners and users of the initiation of the expropriation process. The Cut-off date will also be publicly disclosed on notice boards in local communities and relevant municipalities and at consultation meetings, with an accompanying explanation. The public announcement will also be posted, as necessary, on frequently visited locations throughout the affected areas. This information will include posted warnings that persons settling in the project area after the cut-off date may be subject to removal. |
| Economic displacement | Includes all loss of income sources or means of livelihood as a result of land acquisition or restricted access to resources (land, water, or forest) as a result of Project implementation, regardless whether affected persons must move to another location or not. |
| Entitlement | Compensation and other forms of assistance that PAPs have the right to receive in accordance with this RPF in the respective eligibility category. |
| Expropriation | It refers to a dispossession or a limitation of ownership rights on property with a compensation pursuant to market value of the property and is based on the Governments eminent domain power, regulated by the Law on Expropriation. |
| Involuntary resettlement | Refers to Project-related impacts of resettlement where the person affected has no possibility to decline land acquisition or restrictions on land use, physical displacement (relocation, loss of residential land or loss of shelter), economic displacement (loss of land, assets or access to assets, leading to loss of income sources or other means of livelihood), or both. |
| Land Acquisition | Refers to all methods of obtaining land for project purposes, which may include outright purchase, expropriation of property and acquisition of access rights, such as easements or rights of way. Land acquisition may also include: (a) acquisition of unoccupied or unutilized land whether or not the landholder relies upon such land for income or livelihood purposes; (b) repossession of public land that is used or occupied by individuals or households; and (c) project impacts that result in land being submerged or otherwise rendered unusable or inaccessible. "Land" includes anything growing on or permanently affixed to land, such as crops, buildings and other improvements, and appurtenant water bodies. |
| Livelihood | Refers to the full range of means that individuals, families and communities utilize to make a living, such as wage-based income, agriculture, fishing, foraging, other natural resource-based livelihoods, petty trade and bartering. |
| Moving allowance | The cash compensation for expenses directly associated to moving/relocation of the household or business. |

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| Physical displacement | Loss of shelter and assets resulting from the property acquisition associated with the Project that requires PAPs to move from home, work place or business premises to another location. |
| Project Affected Person (PAP) | PAP is any person who, as a result of the implementation of the (sub)-project suffers impacts. |
| Replacement cost | Replacement cost is defined as a method of valuation yielding compensation sufficient to replace assets, plus necessary transaction costs associated with asset replacement. Where functioning markets exist, replacement cost is the market value as established through independent and competent real estate valuation, plus transaction costs. Where functioning markets do not exist, replacement cost may be determined through alternative means, such as calculation of output value for land or productive assets, or the undepreciated value of replacement material and labor for construction of structures or other fixed assets, plus transaction costs. In all instances where physical displacement results in loss of shelter, replacement cost must at least be sufficient to enable purchase or construction of housing that meets acceptable minimum community standards of quality and safety. The valuation method for determining replacement cost should be documented and included in relevant resettlement planning documents. Transaction costs include administrative charges, registration or title fees, reasonable moving expenses, and any similar costs imposed on affected persons. |
| Restrictions on land use | Refers to limitations or prohibitions on the use of agricultural, residential, commercial or other land that are directly introduced and put into effect as part of the project. These may include restrictions on access to legally designated parks and protected areas, restrictions on access to other common property resources, and restrictions on land use within utility easements or safety zones. |
| Stakeholders | Any and all individuals, groups, organizations, and institutions interested in and potentially affected by a Project, or having the ability to influence the Project. |
| Transitional allowance | Describes one-off cash compensation as an additional financial assistance to facilitate PAP adjustment in the area to which they are relocated. |
| Vulnerable group/individuals | Refers to people below the poverty line, the landless, the elderly, women and children, and those who by virtue of gender, ethnicity, age, physical or mental disability, economic disadvantage, or social status may be more adversely affected by resettlement than others or who may be limited in their ability to claim or take advantage of resettlement assistance and related development benefits. |

Executive summary

The development objective of the SDI Project is to strengthen capacity for integrated river basin management and development through improved transport connectivity, flood protection, and landscape management in selected catchment areas of the Sava and Drina river corridors. This Project will implement approximately 40 sub-projects with high relevance to the program objectives. Sub-projects will be implemented at national level and will have cumulative regional benefits.

This Sub-project "Popova Bara" defines technical solutions and necessary construction works for the rehabilitation of the left bank of the Sava River in the area of the settlement Martinci, the part called "Popova Bara" from rkm 151 + 300 to rkm 153 + 060 of river Sava, in order to prevent further erosion of the left bank due to the flow of the river in the river bend, weak resilience of soil on the left bank and narrowed riverbed. The rehabilitation of the shoreline is planned by facilitating a construction of a river bank on the slopes of the coast, above which a geo-cover is placed as a protection of the upper slope, while a geocomposite material 5 meters long is placed below the bank. The scope of the Sub-project targets the left bank of Sava River, the part called Popova Bara, in CM Martinci, total length of 1760 meters. In Martinci, the current state of the coast requires urgent rehabilitation work on the protection of the left bank in order to stop the eroding and collapsing of the coast, which is also an integral part of the defense line from the large waters of the settlement Martinci. This Sub-project determines technical solutions and necessary works on the rehabilitation of the coast of Martinci at Popova Bara.

Popova Bara is a small, river oriented village on the outskirts of Martinci, approximately 1 km away from the village centre. It is located on the Sava river bank, over the river dyke, connected by a macadam road to Martinci. It has been proclaimed as a week-end cottage settlement by the Sremska Mitrovica municipality, and the houses that are located in the Popova Bara are used as such, for rest, recreation, enjoying nature on the river shore, solitude it brings, or for family vacation. In most cases, land around the houses is used for small agriculture production, orchards for brandy production for own consumption etc.

In accordance with ESS5, the scope of requirements and level of detail of the RP vary with the magnitude and complexity of resettlement impacts. In the prior Social analysis of the Sub-project, conducted in accordance with the SDI Project RPF, up-to-date and reliable information were gathered about the proposed Sub-project and its potential impacts on the displaced persons, appropriate and feasible mitigation measures were envisaged to tackle potential impacts and legal and institutional arrangements required for effective implementation of resettlement measures are set and all described and presented in this RP. However, it should be noted that the Sub-project is in its concept design phase and that the main construction design and Elaborate of expropriation is yet to be prepared. Therefore, this RP is based on the available data according to the concept designs and will be revised when more detailed data becomes available.

Sub-project will be vastly beneficial to the community, including owners of the eroded parcels as it will further stop erosion of their property and in many cases provide for compensation for land that is flooded or carried off by the river Sava (by national law this is considered *vis-major* loss not entitled for any compensation, as the increase of property due to river alluvium is considered natural, accrual gain). Implementation the Popova Bara Sub-project will require permanent land acquisition of land parcels, or part of parcels, at the left river Sava bank in the zone of the village Martinci. However, The Sub-project implementation will not cause physical displacement relocation but only minor land acquisition, relocation of structures or part of structures and minor loss of perennial or annual crops.

This RP specifies following objectives and describes following issues to this Sub-project resettlement plan:

- Provide legal framework to be followed by description of national RS laws of compulsory acquisition and imposition of land use restriction and the nature of compensation associated with it, applicable legal and administrative procedures, regulations relating to the institutions responsible for implementing resettlement activities and identify gaps between national RS laws and ESS5, and the mechanisms to bridge such gaps;
- Describe Sub-project specific impacts, including activities give rise to impacts, zones of impact, scope and scale, restrictions on use (i.e. temporary occupancy of land for construction purpose, heavy construction machinery passing over private property etc.) and the mechanisms established to minimize displacement, to the extent possible, during project implementation;
- Provides baseline social-economic survey of affected persons and asset information;
- Describes specific compensation rates, standards, eligibility as per ESS5 and compensation strategies adopted for involuntary resettlement impacts caused by this Sub-project;
- Describes institutional responsibility for implementation and procedures for grievance redress adopted in the GM;
- Provide detailed procedures to be followed by Directorate of Water Management (DWM) of MoAFWM (PIU), PWC “Vode Vojvodina”, Municipality of Sremska Mitrovica and Local Community Centre at Martinci in respect to consultation and disclosure of resettlement instruments and other measures and describe consultations requirements with affected people about acceptable alternatives and mitigation measures during Sub-project implementation;
- Describe Institutional framework regarding resettlement implementation, including capacity evaluation and provisions for adapting resettlement implementation in response to unanticipated changes in Sub project conditions, or unanticipated obstacles to achieving satisfactory resettlement outcomes, and
- Provides a tentative implementation action timetable and budget;
- Implementation and monitoring arrangements of the Sub-project; and
- Resettlement impacts monitoring, adaptive management, evaluation and reporting procedures during different phases of the Sub-project, and Completion reporting on its finish.

The cut-off date is estimated to be in March 2020. The reason for the delay in announcing it goes to the benefit of the affected persons. The steep embankment is very prone to erosion and every year, people need to re-invest to protect their property and assets, commensurate to the water level that year. If the cut-off date would be announced this early, it would make the people in-eligible for any compensation of rightful investments done this year.

The total budget for resettlement is around 4.000.000 RSD. The current RAP contains a list of affected owners and the total land area. At this stage, detailed technical designs showing exact impact on each parcel of land and assets (fences, trees etc.) is not available. Hence it is not possible to identify the exact dollar expense per individual household. This information will be incorporated into an updated RAP once the design is detailed and detailed budgets identified.

1. Introduction

1.1 Project description

A pivotal feature of the Western Balkans region is the Sava River Basin, one of Europe's largest transboundary basins. It covers over one third of the Western Balkans in area and population, and connects five of the eight Western Balkan countries (i.e., Slovenia, Croatia, Bosnia and Herzegovina [BiH], Serbia, and Montenegro). The Drina is the Sava's largest tributary, draining a mountainous area half the size of Switzerland.



Figure 1 - The Sava and Drina rivers basin; Source: UNECE (2016)

The Sava and Drina have a proclivity for both dry spells and devastating floods—most recently occurring in 2010 and 2014. Current climate change projections for the Sava River Basin foresee a rise of flood peaks of up to 8 percent, while droughts are also anticipated to become more frequent. Floods and droughts create risks for livelihoods and impose constraints on trade, food security, and productive investment.

The development objective of the SDIP is to strengthen capacity for integrated river basin management and development through improved transport connectivity, flood protection, and landscape management in selected catchment areas of the Sava and Drina river corridors. This Project will implement approximately 40 sub-projects with high relevance to the program objectives. Sub-projects will be implemented at national level and will have cumulative regional benefits. Sub-projects related to environmental and flood management were prioritized based on potential for climate change adaptation.

The Project consists of three components as described below:

Component 1: Integrated Development of the Sava River Corridor

Sub-component 1.1: Flood protection, environmental management and climate change adaptation. This sub-component will finance the cleaning up of an old solid waste dump site in Brcko District located along the Sava River bank as well as nature-based solutions to re-vitalize selected protected areas of ecological significance to the Western Balkans. This sub-component will also finance construction and rehabilitation of embankments at selected priority areas along the Sava River Corridor.

Sub-component 1.2: Enhancement of ports facilities, services and logistics. The project will finance the acquisition of equipment for the rehabilitation and expansion of cargo and vessel handling infrastructure and associated supporting services, like power supply and water treatment, at the Sava river ports of Sremska Mitrovica (Serbia) and Brčko (BiH). Specifically, the project will finance the construction of a container terminal at the ports of Sremska Mitrovica and Brčko. These facilities are expected to benefit from improved navigation access to be supported by this program and contribute to improvements in logistics service delivery in the Sava corridor, including the facilitation of container transportation and handling. These developments have proven effective at facilitating modal shift from trucks to barges, and through these interventions, could indirectly contribute to this outcome.

Sub-component 1.3: Multi-purpose Waterway Improvements. This sub-component will fund civil works in selected sites to address impediments to navigation along the Sava between the Port of Sremska Mitrovica (Serbia) and Slavonski Brod (Croatia) to bring these sections up to Class IV standard. This standard will guarantee a least available depth of 2.5 meters (relative to current draft levels of 2 meters or less) and a waterway fairway consistent with the navigation of vessels of 1,000-1,500 deadweight tons in capacity for 300 days per year. The works will include a combination of bank protection, dredging, river, bend correction, and provision of navigational aids. While the detailed engineering designs for these works are not yet available, sustainable engineering solutions will be proposed, based on European best practice, to minimize dredging volumes, and room for the river approaches will be pursued to increase climate resilience. These designs will be finalized during Phase 1 and implementation undertaken in phase 2. Grant financing will be mobilized to finance demining activities along the Sava Right bank within BiH. Demining is a prerequisite for preparation of design documents for subprojects in the key waterway section between Jaruge (Croatia) and Novi Grad (Federation of Bosnia and Herzegovina)—considered the most pressing navigation bottleneck across the Sava at present. Demining activities are proposed as a no-regret investment that will help unlock the river's economic potential for generations to come.

Component 2: Sustainable Management of Environmental Assets in Drina Corridor

Sub-component 2.1: Managing climate change impacts and risk mitigation in Drina river corridor. The sub-component will finance infrastructure works, studies, surveys, consultations and preparation of detailed design of interventions related to the management of environmental assets along the Drina Corridor. This project will finance improved watershed management in the Lim and Grnčar River basins of Montenegro.

Sub-component 2.2: Hydrological and optimization interventions. The on-going GEF-SCCF-financed Drina River Basin Management project as well as the ESMAP technical assistance, are conducting studies that will identify the additional actions needed for flood protection, bank stabilization, drainage and river training works, and reservoir management in the Drina Corridor. This sub-component will finance selected priority investments in line with the project development objective including any further studies that may be needed.

Component 3: Enabling regional economic integration, institutional strengthening and program management

Sub-component 3.1: Project preparation. This sub-component will finance preparation of project documentation for phase II of the program, including environmental and social safeguard assessments.

Sub-component 3.2: Studies and policy dialogue to foster regional economic integration. This sub-component will finance policy dialogue, consultations, and the preparation of plans and studies to strengthen the nexus between water services, (environmental protection, flood risk reduction and improved connectivity), job creation, economic growth and the enhancement of economic integration through trade and investments along the Sava and Drina Corridor. An advocacy and communication campaign will be prepared and implemented to promote regional integration. A study on the alluvial aquifers in the Sava Basin will improve the understanding of ground water resources and their environmental status.

Sub-component 3.3: Planning and development of tourism in the Sava-Drina Corridor. Master Plan will be financed to define the strategy to develop and strengthen eco-tourism and enhance access for tourists in the region. Branding of the pristine Drina sections will also be considered for tourism development.

Sub-component 3.4: Institutional strengthening and project management for regional activities. This sub-component will finance activities to increase institutional capacity and intersectoral coordination in the participating countries to ensure more efficient decision making and program management at regional level.

In Serbia, SDIP will support rehabilitation and stabilization of Sava River dykes, flood protection measures along the Drina River and its tributaries, modernization and capacity expansion at the port of Sremska Mitrovica, and dredging, river training, and navigability enhancing works alongside the berths of the port and in the main fairway to/from the port. The mission received and is reviewing design documentation for some subprojects such as, Šabac; Progar-Kupinovo; Klenak; and this Sub-project: Popova Bara. Also, the designs for reconstruction and upgrading of dykes at Kolubara and New Belgrade are underway and will be completed by March 2020, including the safeguard assessments and tender documentation. The procurement process for the preparation of preliminary engineering design, feasibility study, environmental impact assessment, and other preparation documentation for the navigation and port-related subprojects is ongoing and the consultants are being mobilized. So far two Sub-Projects have been identified as mature, the Flood Protection Works On the left River Bank of Sava in Popova Bara (this Sub-project) and Jarak.

1.2 Sub-project description

This Sub-project "Popova Bara" defines technical solutions and necessary construction works for the rehabilitation of the left bank of the Sava River in the area of the settlement Martinci, the part called "Popova Bara" from rkm 151 + 300 to rkm 153 + 060 of river Sava, in order to prevent further erosion of the left bank due to the flow of the river in the river bend, weak resilience of soil on the left bank and narrowed riverbed.

The rehabilitation of the shoreline is planned by facilitating a construction of a river bank on the slopes of the coast, above which a geo-cover is placed as a protection of the upper slope, while a geocomposite material 5 meters long is placed below the bank. At the very bottom of the shoreline, a foot is built, which plays a role in ensuring the slope is stable. From the middle of the river to the shore two layers of gravel will be overlaid. The value of the works is without value added tax is assessed at 588,102,830.00 RSD (5.005.130 EUR¹) or 705,723,396.00 with value added tax.



Figure 2 - Similar construction works with geocomposite covering a river bank

The scope of the Sub-project targets the left bank of Sava River, the part called Popova Bara, in CM Martinci, total length of 1760 meters. The entire area, for easier visual identification, is shown on the map below.

¹ Middle exchange rate on October 14, 2019: 1 EUR = 117,5 RSD

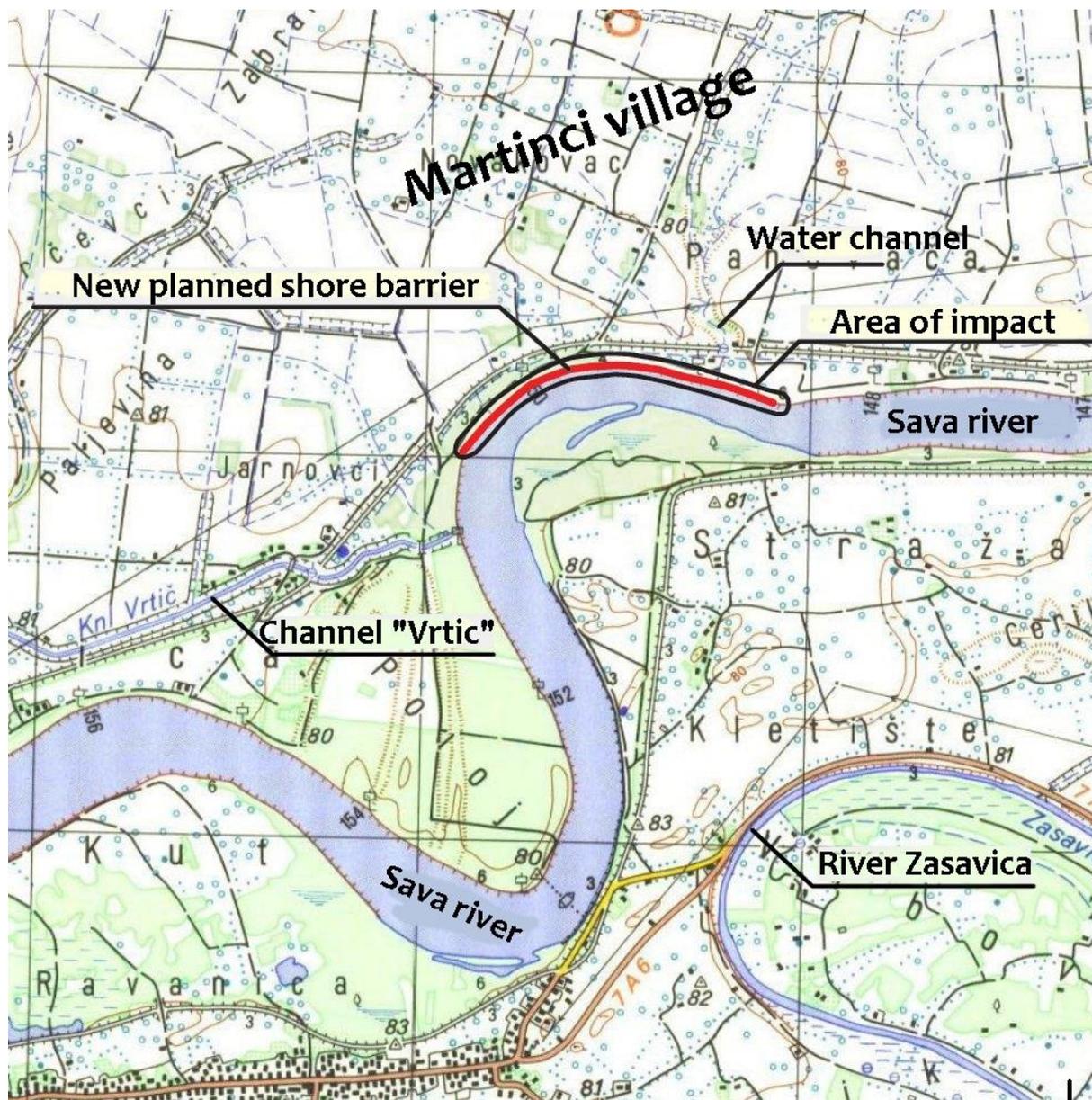


Figure 3 - Map of the Sub-project area

1.3 Sub-project area description and why land must be acquired, local context and key demographics

In Martinci, the current state of the coast requires urgent rehabilitation work on the protection of the left bank in order to stop the eroding and collapsing of the coast, which is also an integral part of the defense line from the large waters of the settlement Martinci. This Sub-project determines technical solutions and necessary works on the rehabilitation of the coast of Martinci at Popova Bara, on the left bank of the Sava River from rkm 151 + 300 to rkm 153 + 060 in order to prevent further collapsing of the left bank due to river flow in the curve and poor resistance of the left bank, to rehabilitate existing damage and permanently stop the erosion process of the river shore. Targeted flood protection aims to reduce risk of potential losses to private and public property; including public infrastructure, agricultural and livestock production, as well as family household assets by construction of flood protection infrastructure.

The following are some of the expected benefits from the proposed reconstruction of the left bank of the river Sava in the CM Martinci and flood control in the context of implementation of the Sub-project:

- to stop further erosion of the soil at the left river bank in the Sub-project designated area;
- to avoid damages of agriculture production on land likely to suffer frequent floods;
- to avoid damages of assets (private houses; apartment houses; industrial facilities; roads, electricity, schools, kindergartens, health facilities and other public buildings);
- to avoid losses of business due to uninterrupted production, provision of services, interrupted communications, traffic disruption, etc;
- to avoid human health costs due to reduction of pollution in the water supply systems, reduced water borne diseases, and reduced risks of human life losses;
- to increase recreation benefits including potential for tourism development;
- to induce indirect economic development effects.

Article 5 of the RS Law on waters ("Official Gazette of the RS" n° 30/2010, 93/2012, 101/2016, 95/2018 and 95/2018) proclaims that waters (this includes surface and underground, land under water and watersides) are a natural resource and represents an inalienable public domain, owned by the Republic of Serbia. Waters are entrusted for management to PVMC Srbijavode and Vode Vojvodine (territory of the Autonomic province of Vojvodina). Therefore, by law, river Sava, including river banks, should be owned by the RS. Indeed, public Cadastre information show that the cadastral parcel 7790 CM Martinci, that should delineate waterway of river Sava (from the middle to the left bank) is owned by RS. However, over time the river changed its bed and significantly eroded the left shore soil, thus expanding on other parcels, in some cases, privately owned. The Figure 3 below will depict this circumstances vividly.



Figure 4 - Eroded land on the left river Sava bank

It shows how the river bank expanded into and private property, changing the river bed and eroding the shore soil. It is of a primary interest for those private owners that this process is stopped by reconstruction and strengthening of the river bank which is now shifted to their private property plots. Expropriation of the narrow part of the land plots close to the shore, including already eroded land, in order to implement planned reconstruction of the river bank, is therefore necessary and actually beneficial for the PAPs.

Martinci is a small village, located at the coast of river Sava in the Municipality of Sremska Mitrovica in the Sremski district of the autonomous province of Vojvodina. It is one of the oldest places in Vojvodina. During Roman rule, the village was known as Budalia and was a place of birth of Roman emperor Traianus Decius (249-251).

Popova Bara is a small, river oriented village on the outskirts of Martinci, approximately 1 km away from the village centre. It is located on the Sava river bank, over the river dyke, connected by a macadam road to Martinci. It has been proclaimed as a week-end cottage settlement by the Sremska Mitrovica municipality, and the houses that are located in the Popova Bara are used as such, for rest, recreation, enjoying nature on the river shore, solitude it brings, or for family vacation. In most cases, land around the houses is used for small agriculture production, orchards for brandy production for own consumption etc. During the walk through the of Sub-project area and the face-to-face consultations with the owners of the assets at Popova Bara and other PAPs, it has been determined that some of the owners are using their property very often while others come only several times a year at the most. A more extensive overview of the properties is presented in the Chapter 3 Potential Sub-project impacts of this RP.

For several decades the population number in Martinci is constantly decreasing (year 1961: 4,396 population; year 1971: 4,003 population; year 1981: 3,975 population; year 1991: 3,663 population; year 2002: 3,639 population). Latest population census (2011) show the trend is continuing: at the time of the census 3,070 inhabitants lived in Martinci, so it is very likely that the number of inhabitants dropped below 3,000 in year 2019. This can be attributed to the general trend of young people leaving the rural, agricultural areas for larger cities (Novi Sad, Belgrade) and urban life they offer. This also creates the trend of aging of the countryside villages, and the age structure of the Martinci is unfavorable, as, according to the population census 1,531 inhabitants are 45 years and over, representing 49,8% of the total Martinci population. In Martinci, there is a slightly higher number of male population over female: 1,563 male compared to 1,507 female inhabitants. In the rural Sremska Mitrovica area (including Martinci) there is still 2,99% illiterate persons out of population older than 10 years old, out of which 64% are women over 65 years old. Serbian ethnic majority makes out 87,3% of the population, with minor presence of other ethnic minorities: Croats, Romas, Hungarians, Ruthenians, Slovaks etc. and there are no ethnic, cultural, social, religious or other conflicts recorded in the community. There is a considerable number of single-headed households (close to 20%, mostly older persons), little over 10% of households have income only from agriculture, but additional 34% of households have mixed income (agriculture with pensions or other income, or using agricultural products for their own needs). Over 22% of households for their income depend exclusively on retirement pensions².

Considering these demographic data, exploring the impacted universe of PAPs, Socio-economic survey particularly paid attention to vulnerable individuals of following virtues:

- persons living below poverty line,
- elderly agricultural households,
- illiterate persons,
- Roma ethnic minority persons.

1.4 Scope and work for RP preparation

In accordance with ESS5, the scope of requirements and level of detail of the RP vary with the magnitude and complexity of resettlement impacts. In the prior Social analysis of the Sub-project, conducted in accordance with the SDI Project RPF, up-to-date and reliable information were gathered about the proposed Sub-project and its potential impacts on the displaced persons, appropriate and

² Source: for all demographic information the source was the last published population census from year 2011, Serbian Statistical Institute: <https://www.stat.gov.rs/>

feasible mitigation measures were envisaged to tackle potential impacts and legal and institutional arrangements required for effective implementation of resettlement measures are set and all described and presented in this RP.

Impacts are limited to parts of mostly eroded agricultural land parcels, mainly not used for agriculture processing, causing land acquisition of parts of these land parcels with minor economic displacement impacts and impacts on . As already explained in the previous Chapter of this RP, Sub-project will be vastly beneficial to the community, including owners of the eroded parcels as it will further stop erosion of their property and in many cases provide for compensation for land that is flooded or carried off by the river Sava (by national law this is considered *vis-major* loss not entitled for any compensation, as the increase of property due to river alluvium is considered natural, accrual gain). The Sub-project implementation will not cause physical displacement relocation and will cause minor land acquisition relocation of structures, loss of perennial or annual crops. The detailed description of impacts are given in the appropriate Chapter.

The scope of work during the RP preparation includes:

- Screening of project area,
- Survey of projected affected area, land and property there on;
- Walk through the project area i.e. field verification;
- Census of the PAPs including persons and their land, assets and property thereon;
- Socioeconomic assessment of the PAPs;
- Identification of land, assets and properties likely to be affected;
- Comparison of rules on valuation and eligibility of the land and assets and property thereon to be acquired;
- Public consultations and disclosure of the RP with affected Project Affected Persons (PAPs), community and stakeholders (to be held)
- Analysis of the relevant legal framework;
- Analysis of institutional framework covering the identification of agencies responsible for process of resettlement, delivery of compensation, other resettlement assistance and mitigation measures, monitoring etc.
- Establishment of adequate GM (to be established).

1.5 Principles and objectives of the RP

Principles guiding involuntary resettlement are defined by the ESS5 are adopted and embedded in this RP. However, not applicable principles guiding involuntary resettlement in this Sub-project are omitted:

- To avoid involuntary resettlement or, when unavoidable, minimize involuntary resettlement by exploring project design alternatives. Although taken into account in this RP, minimization of impacts is impossible due to Sub-project characteristics and objectives;
- To avoid forced eviction³;
- To mitigate unavoidable adverse social and economic impacts from land acquisition or restrictions on land use by: (a) providing timely compensation for loss of assets at replacement cost, and (b) assisting displaced persons in their efforts to improve, or at least restore, their livelihoods and living standards, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher;

³ Forced eviction, as defined in the ESS5, is against the national RS laws as well.

- To conceive and execute resettlement activities as sustainable development programs, providing sufficient investment resources to enable displaced persons to benefit directly from the Sub-project, as the nature of the Sub-project may warrant. This principle is fully applied as impacted households are, in general, one most benefiting from the Sub-project, besides the general affected community of Martinci;
- To ensure that resettlement activities are planned and implemented with appropriate disclosure of information, meaningful consultation, and the informed participation of those affected.

This RP and its detailed provision, is prepared according to ESS5 and SDIP RPF, in view of national laws and regulations of Republic of Serbia. In general, this RP aims to assess the impacts, describe compensation and other resettlement assistance measures, if possible set out actions on how to avoid and mitigate negative impacts due to loss of private land or assets, provide prompt and effective compensation for residual impacts to affected people, if any and include provisions for adapting resettlement implementation in response to unanticipated changes in project conditions, or unanticipated obstacles to achieving satisfactory resettlement outcomes.

The RPF has outlined the key land acquisition and resettlement principles and objectives to be followed, the organizational arrangements and design criteria to be applied in order to ensure an adequate management of land acquisition and restriction of land use required for the Project. This RP specifies following objectives to this Sub-project resettlement plan:

- Provide legal framework to be followed by description of national RS laws of compulsory acquisition and imposition of land use restriction and the nature of compensation associated with it, applicable legal and administrative procedures, regulations relating to the institutions responsible for implementing resettlement activities and identify gaps between national RS laws and ESS5, and the mechanisms to bridge such gaps;
- Describe Sub-project specific impacts, including activities give rise to impacts, zones of impact, scope and scale, restrictions on use (i.e. temporary occupancy of land for construction purpose, heavy construction machinery passing over private property etc.) and the mechanisms established to minimize displacement, to the extent possible, during project implementation;
- Provides baseline social-economic survey of affected persons and asset information;
- Describes specific compensation rates, standards, eligibility as per ESS5 and compensation strategies adopted for involuntary resettlement impacts caused by this Sub-project;
- Describes institutional responsibility for implementation and procedures for grievance redress adopted in the GM;
- Provide detailed procedures to be followed by Directorate of Water Management (DWM) of MoAFWM (PIU), PWC "Vode Vojvodina", Municipality of Sremska Mitrovica and Local Community Centre at Martinci in respect to consultation and disclosure of resettlement instruments and other measures and describe consultations requirements with affected people about acceptable alternatives and mitigation measures during Sub-project implementation;
- Describe Institutional framework regarding resettlement implementation, including capacity evaluation and provisions for adapting resettlement implementation in response to unanticipated changes in Sub project conditions, or unanticipated obstacles to achieving satisfactory resettlement outcomes, and
- Provides a tentative implementation action timetable and budget;
- Implementation and monitoring arrangements of the Sub-project; and
- Resettlement impacts monitoring, evaluation and reporting procedures during different phases of the Sub-project, and Completion reporting on its finish.

1.6 Study methodology and limitations

The preparation of this RP was through a combination of desk review of secondary data and field study. The desk study involved review of project documents including available maps for the Sub-project sites, cadastral records, Municipal database on PAPs and their assets and preliminary technical designs. The desk study was supplemented with a survey of the project sites to establish the exact impact of the proposed subprojects in terms of affected property and creates an inventory of PAPs, and assets. The field survey consisted of a asset census and socio-economic survey of identified PAP; social assessment of the Sub-project sites along the corridor of impact along the Sava river left bank at village of Martinci. The Census was prepared on the basis of data available through the Cadastral Register and the database of the Municipality of Sremska Mitrovica and individual interviews. Secondary data were used in addition to generated primary data obtained through the PAP and interviews with government officials and non-government officials about PAP and their assets. During analysis and interpretation of the gathered data equal weight was given to quantitative and qualitative aspects so that it reflects a balanced reality of the current situation of the PAP. The data generated shall serve as baseline conditions against which the living standards shall be compared to during the follow up survey in the Completion Report.

However, it should be noted that the Sub-project is in its concept design phase and that the main construction design has not been prepared. However, it should be noted that the Sub-project is in its concept design phase and that the main construction design and Elaborate of expropriation is yet to be prepared. Therefore, this RP is based on the available data according to the concept designs and will be revised when more detailed data becomes available. Therefore, some information may be lacking from this first draft and it will be amended in the later stages, until the time of the Public consultations on this RP and final RP version disclosure.

2. Legal framework

2.1 The national RS policy on involuntary resettlement

The national RS policy is embodied in the only law that regulates this subject - The Law on Expropriation⁴ (passed in 1995 and enacted on January 1, 1996, amended in March 2001, March 2009, 2013 by the CC ruling, and in 2016 – with the authentic interpretation) enables government institutions to acquire property for projects that are deemed to be of public interest, while protecting the interests of all persons with legal title, whose assets are to be expropriated. The Law on expropriation does not use the term "involuntary resettlement", but instead uses the term "expropriation" and is based on the Governments eminent domain power. The law also enshrines the principle of compensation at "market price" of the property instead of the "replacement cost" used in ESS5.

2.1.1 Key features of the Law on Expropriation in regard to the Sub-project

The most important features of the Law on Expropriation affecting the Sub-project are:

- Immovable assets (defined as land, buildings and other structures including perennial plants, crops, logging forest etc) can be expropriated only once the public interest is declared (not been declared yet in this Sub-project).

⁴ https://www.paragraf.rs/propisi/zakon_o_eksproprijaciji.html, last accessed October 15, 2019

- As the potential Beneficiary of Expropriation (BoE) the law recognizes the Republic of Serbia, autonomous province Vojvodina, cities, the city of Belgrade, municipalities, public funds, public companies, companies that are established by public companies, as well as for companies with majority state capital founded by the above-mentioned state institutions. Which state institution will have a role of BoE is a *pro forma* issue in this Sub-project and in this case BoE will be PVMC Vode Vojvodine.
- Expropriation can be either complete or incomplete. Complete expropriation allows the BoE to obtain ownership over the expropriated property, while the ownership by the owner and other rights cease to exist. Incomplete expropriation provides the BoE with specific easement rights on the land and structures, as well as the lease of the land for a definite period of time (not longer than three years in both cases). At the end of the easement or lease period full ownership rights over property are returned to the owner, and must be restored to condition prior to expropriation. Cases of complete expropriation will be land acquisition of certain fraction of privately owned land plots, as shown in the appropriate Chapter of this RP. Incomplete expropriation will be if the right of passage or lease is established on private land for construction purposes.
- Owners of immovable property partially expropriated are entitled to request expropriation of the remaining property and receive corresponding compensation, in case when the expropriation has deteriorated their economic situation, or the remaining part of the property has become unviable.
- As a general rule, compensation is cash based, unless the Law stipulates otherwise. When agricultural land is subject to expropriation for construction of linear infrastructure facilities (roads, highways, pipelines, etc.), suitable agricultural land of the same type and quality, or the corresponding value in the area or its surroundings shall be offered as a primary type of compensation. If such land is not available, the compensation shall then be offered cash based. Here, the intention of the Law is to avoid large scale (Highway construction) impacts on agricultural production and processors. Here, it will not be the case and by Law, compensation would be cash based and estimated on "market price" value, which is a basic rule of valuation prescribed by the Law.
- Taking possession of the expropriated property is not allowed prior to the day the decision on compensation becomes legally valid, or before the date of conclusion of an agreement on compensation for expropriated property, unless the Law stipulates otherwise. There are exceptional cases allowing the BoE to take possession of the expropriated property immediately upon the final decision on expropriation (in cases of urgent works preventing natural disasters or to prevent large damages or loss), provided that the BoE has defined the basis for compensation under the provisions of the Law.
- Persons affected by expropriation have right to appeal during the complete process. Appeals can be issued against all decision of administrative authority to the higher, second instance authority and to the Judicial system, to the competent municipal court. This process is guided by the Law on Extra-Judicial proceedings.

2.1.2 Expropriation process

It is intended to ensure simple, efficient process, reducing as far as possible the need for a lengthy judicial process to facilitate necessary expropriation. Under normal circumstances, the entire process of acquisition can be completed within six months.

The process of expropriation begins after establishment of public interest for projects that bring greater benefit for the public. The Law on Expropriation requires the BoE to justify the need for expropriation and to demonstrate that the scheme cannot occur without the proposed expropriation. This is a provision of the Law that requires of the BoE and the project to search for alternative design and

solutions in order to avoid or minimize unavoidable expropriation impacts. A condition precedent to start expropriation is evidence that the required funds have been secured and made available. It is necessary for the BoE to provide a bank guarantee for the assessed fair value of the property to be expropriated or to make adequate appropriations within the budget (in case of state BoE).

The process starts by submission of expropriation request to the relevant administrative authority (Municipality administration where the property is located) by the BoE. The request, amongst other data, must contain exact specification of owners and properties for which expropriation is proposed, and evidence on adequate funds available for compensation either through secured funds within the budget, or a bank guarantee, securing the payment of compensation, in accordance with the regulations governing public finances. In the case of this Sub-project, the expropriation process will formally begin when Vode Vojvodine submit expropriation request to the LM Sremska Mitrovica - department for property affairs.

Once the proposal has been submitted with supporting documentation individual invitations to hearings on expropriation are sent to every owner. The invitation specifies the purpose, time and date of hearing proposed and provides the PAPs with a list of documents to be made available for presentation and discussion at the agreed time. If all conditions are met, after the hearing with the individual owner of immovable property has been held, an Expropriation decision is issued. Decisions are issued individually for every property expropriated, *vis-a-vis* owners (or co-owners) of the property.

2.1.3. Valuation stage of the expropriation process

At the valuation stage, the BoE contacts the Tax Administration office (local municipality office but under MoF authority) to assess the value for land (all types of land, agricultural, urban, barren etc.) at market price. If impacts to agricultural land occur for construction of linear infrastructure, the BoE contacts the MoAFWM, which provides an assessment of the availability of comparable agricultural land. In addition, the BoE engages, at its own expense, an accredited expert(s) to assess the value of other property: structures, addition to land, agricultural output, businesses etc. that can be subject to expropriation and/or can influence the value of compensation offer. At the request of the PAP, they can be present during the valuation process.

The Beneficiary of expropriation is not required to prepare a socio-economic study or a baseline census with regard to the PAPs.

The BoE shall submit written compensation offers within 15 days of the legal validity of expropriation decision based on evaluation of assets made by proper authority. The municipal authority will without delay supply the owner with a copy of the offer and will collect information that may be of importance for the compensation from administrative and other institutions and organizations. The compensation agreement cannot be in contradiction to the Law on Expropriation provisions.

Once the expropriation decision cannot be disputed by legal remedies (legal validity) it becomes legally binding and the negotiations on the compensation is ready to commence. The Agreement between BoE and the property owner has to include the timing and rate at which cash compensation will be paid or in-kind compensation provided. If no agreement on compensation is reached within 2 months of the validity of the Decision on Expropriation (or less if the Municipal Administration decides as such), the Municipal Administration submits the case file together with relevant documents to the competent Court, at the territory of which the expropriated real property is located, for the purpose of determining the compensation. The competent court *ex officio* decides in non-contentious proceedings on the amount of compensation for the expropriated property. This process is, as mentioned, guided by the Law on Extra-Judicial proceedings.

2.1.4 Eligibility by Law

Owners of any land or structure with a formal title are entitled to compensation. If the owner has no building permit for the constructed permanent structure on own land (that can be legalized), compensation will be paid under the Law on Fundamentals of Property Relations (1980, applicable from 1st September 1980, amended 1990, 1996 and 2005) at the investment cost– as determined by an accredited expert to assess the value of the materials needed for replacement. For the PAPs, without formal title, there are no provisions to pay compensation currently under the Law on Expropriation. Other immovable property (agricultural goods, investment on land made, business etc.) are investment based eligible for compensation (i.e. formal owner of the agricultural land is presumed to be owner of the crops or plants on land). In other cases, informal owners would have to prove their ownership. These and other situations of eligibility have been explored in the RPFs Entitlement matrix, in accordance with the ESS5, which will be adopted by this Sub-project.

2.1.5 Information and dissemination requirements

The Law on expropriation does not require *per se* either public dissemination nor consultation of information on the area delineated, nor systematic and continuous dissemination of information regarding the project. Nevertheless, it requires public disclosure of the determination of Public interest and the Municipal authority to invite individually every affected person with formal legal rights to a meeting to present any facts which may be relevant for expropriation. It additionally requires timely disclosure and information of every decision within the process flowchart. This dissemination has statutory limits wherever a legal remedy is provided.

2.1.6 Other applicable laws and regulation influencing involuntary resettlement

Besides the Law on Expropriation, the following comprise the list of legal documents, policies and regulatory acts and frameworks dealing with expropriation and resettlement and other social impacts associated or influencing some aspects of involuntary resettlement or the Sub-project:

- Law on Fundamentals of Property Relations (adopted in 1980, amended 1990, 1996 and 2005) - issues like informal ownership, possession, joint spouses ownership, ways of acquiring ownership etc.
- Law of Planning and Construction (adopted and revised in 2009, and amended in 2011, 2013 and 2014) - rules of planning, public consultation on planning, rules of construction etc.
- Law on State Survey and Cadastre (adopted in 2009, amended in 2010, 2013, 2015, 2017 and 2018) - ownership information, property records, plot survey etc.
- Law on waters (adopted in 2010 amended in 2012 and 2016) - ownership on surface and underground, land under water and watersides, protection for floods, water management etc.
- The Law on Extra-Judicial Proceedings (adopted 1982 amended in 1988, 1995, 2005, 2012, 2013, 2014 and 2015 - rules by which courts decide on expropriation and compensation, family and property-related matters etc.
- The Law on Administrative procedures (adopted in 2016) - rules and procedures to be applied by government authorities when deciding on rights, obligations or legal interests of individuals, legal persons or other parties, including in the process of expropriation.
- Building legalization law (adopted in 2015 and amended in 2018) - conditions, procedure and manner for legalizing buildings, parts of buildings, auxiliary buildings and other buildings constructed without a building or construction permit etc.

2.2 WB standards on Land Acquisition, Restrictions on Land Use and Involuntary Resettlement (ESS5)

All WB financed projects involving Land Acquisition, Restrictions on Land Use and Involuntary Resettlement involuntary resettlement are subject to ESS5. The applicability of ESS5 is established during the environmental and social assessment described in ESS1.

The policy describes the procedures and instruments for mitigating negative economic and social issues that may arise. ESS5 is relevant in all cases when land acquisition or restrictions on land use that may cause physical displacement (relocation, loss of residential land or loss of shelter), economic displacement (loss of land, assets or access to assets, leading to loss of income sources or other means of livelihood), or both.

The overall objectives of ESS5 are the following:

- To avoid involuntary resettlement or, when unavoidable, minimize involuntary resettlement by exploring project design alternatives.
- To avoid forced eviction.
- To mitigate unavoidable adverse social and economic impacts from land acquisition or restrictions on land use by: (a) providing timely compensation for loss of assets at replacement cost and (b) assisting displaced persons in their efforts to improve, or at least restore, their livelihoods and living standards, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher.
- To improve living conditions of poor or vulnerable persons who are physically displaced, through provision of adequate housing, access to services and facilities, and security of tenure.
- To conceive and execute resettlement activities as sustainable development programs, providing sufficient investment resources to enable displaced persons to benefit directly from the project, as the nature of the project may warrant.

To ensure that resettlement activities are planned and implemented with appropriate disclosure of information, meaningful consultation, and the informed participation of those affected.

2.3 Gap analysis

The objectives of ESS5 are clear in their aim to avoid involuntary resettlement caused by land acquisition measures wherever feasible, and if this is not possible, to apply such compensation principles for impacts on all PAPs and to restore their livelihoods at least to pre-project level. In general, the institutional framework for expropriation in Serbia is not completely in compliance with the ESS5, and the most evident differences relate to the requirements to compensate at replacement cost for land *vis-à-vis* the national requirement of compensating land at market value without payment of taxes and fees as part of compensation package. Additionally, the national law includes decrease of value for structures by depreciation and it does not recognize informal users, occupiers and settlements. It also does not require a project specific grievance mechanism, a social impact assessment, gender disaggregated data, has a limited public consultation process and no assistance to vulnerable persons or groups are provided.

The table below provides an overview of specific gaps between the national expropriation law *vis-à-vis* ESS5 and the recommendations for remedy and/or mitigation in order to comply with the ESS5 requirements. However, only gaps relevant for impacts or situation that influence involuntary resettlement impacts under this Sub-project are presented:

Table 1 - Gap analysis

| Subject | National legislation | WB ESS5 and good international resettlement standards | Gaps and measures for bridging the gaps |
|---|--|---|--|
| Resettlement instruments, census and social impact assessment | The only documents prepared are part of construction plans, the Expropriation study ⁵ as an inventory of land impacted and formal owners without tackling socio-economic issues. | Resettlement Plan is prepared proportionate to the risks and impacts associated with the Sub-project. Where the likely nature or magnitude of the land acquisition or restrictions on land use is unknown, RPF is prepared. Census and socioeconomic survey must be conducted to identify PAPs, their demographic and socioeconomic characteristics, inventory of assets affected, magnitude of losses and extent of displacement, information on vulnerable groups or persons and additional studies the Bank may deem relevant. | RPF, this RP and other site-specific RPs, if necessary, will be developed per ESS5, in addition to national legal requirements. Census will be conducted to identify persons who will be affected by the project and assess their characteristics, including standard of living, level of vulnerability, establishing baseline conditions for monitoring and evaluation purposes, and to set a cut-off date. |
| Public consultations on resettlement instruments | The formal owners are contacted only at the very process of expropriation there is no requirements for public consultation apart from the spatial planning phase under the Planning and construction law. | Meaningful consultations with affected persons and communities, local authorities, and other stakeholders need to be carried out during the preparation and implementation of resettlement instruments and throughout all phases of the Project. | National legislation does not require public consultation with affected persons and communities at the extent and reach of those envisaged by ESS. The PIU shall disclose RPF and RPs (if applicable) to PAPs and stakeholders and conduct consultation meetings as described in this RPF throughout all phases of the Project. |
| Cut-off date for eligibility and census | The RS Expropriation Law stipulates that after the date of submission of the proposal for expropriation by the expropriation beneficiary to the relevant municipality, all investments made by owners after receiving information about the Expropriation proposal will not be compensated, except the necessary expenses. | Normally, the cut-off date is when census begins but could also be the date the project area was delineated, prior to the census, provided that there has been an effective public dissemination of information on the area delineated, and systematic and continuous dissemination subsequent to the delineation to prevent further population influx. | The cut-off will be publicly announced and published by the BoE in local newspapers, informing all owners and users of the initiation of the expropriation process, the cut-off date and the contact persons who may be contacted by PAPs for further information. This information will include posted warnings that persons settling in the project area after the cut-off date may be subject to removal. |

⁵ It should be noted that presently Expropriation study for this Sub-project is yet to be prepared as part of main construction design. As much as it cannot significantly differ from the asset inventory prepared for this RP, it can predict some additional impacts which will be amended to the RP.

| Subject | National legislation | WB ESS5 and good international resettlement standards | Gaps and measures for bridging the gaps |
|---|---|---|--|
| Eligibility for compensation | The Expropriation Law recognizes only formal title holders and holders of rights recognizable under national laws (factual ownership). | Besides formal owners, ESS5 also recognizes those who have no recognizable legal right or claim to the land they are occupying on Cut-off-Date as eligible for relocation and rehabilitation assistance and compensation for loss of non-land assets at replacement value. | Compensation and assistance to PAPs without legal right or claims will be made per principles and entitlements provided in the entitlement matrix of the RPF, if they are present in the project affected area at the time of the cut-off date. |
| Valuation methodology for compensation property | Compensation for loss of properties and assets should be at least equal to the market price. Depreciation are deducted from compensation (or included by decreasing the market price value appraisal). The Expropriation Law does not specifically mention compensation for the costs of any registration fees and transfer taxes. | Compensation should be equal to full replacement cost without depreciation. | Compensation and assistance to PAPs will be at least equal to replacement value as provided in the entitlement matrix of the RPF. In determining the replacement cost of the affected asset, the cost of market value plus taxes and fees, if any, will be considered. In case of valuing the cost of affected structures, the depreciation cost of structures will not be considered and the estimation of costs will be assessed on how much it will cost for building a new one of the same qualities. The valuation of affected structures will also consider value of time invested in construction and applicable taxes, if any. |
| Taking possession of land and related assets | The expropriated land and related assets can be taken into possession when the agreement on compensation is signed or the decision on compensation, when determined by the judicial authorities becomes legally binding. However, if BoE can prove urgency needs or damages if possession is not allowed, administrative authority in charge of expropriation can allow taking possession prior to compensation payment, which in practice happens often. | Taking possession of assets only after full payment of compensation is a rule. In certain cases there may be significant difficulties related to the payment of compensation to particular affected persons, for example, where repeated efforts to contact absentee owners have failed, where project-affected persons have rejected compensation that has been offered to them in accordance with the approved plan, or where competing claims to the ownership of lands or assets are subject to lengthy legal proceedings, Borrower may be allowed to take possession of the property but only with prior consent of the WB and after showing adequate funds has been placed in the escrow account. | Taking of land and related assets after payment of compensation shall apply as a rule. If the offer is rejected, the land and related assets can be taken once the funds equal to the offered amount plus 10% have been secured and earmarked in a designated account, and with prior approval of WB, and the MoF has endorsed such taking by rendering case to case based decisions in compliance with the Law. |

| Subject | National legislation | WB ESS5 and good international resettlement standards | Gaps and measures for bridging the gaps |
|--------------------------|---|--|--|
| Grievance Mechanism (GM) | There is no requirement to have project specific grievance mechanism, apart from the institutional one. | The Borrower will ensure that a grievance mechanism for the project is in place, in accordance with ESS10 as early as possible in project development to address specific concerns about compensation, relocation or livelihood restoration measures raised by displaced persons (or others) in a timely fashion. | The PIU shall establish a Project Grievance Mechanism as described in the RPF scaled to the risks and adverse impacts of the Sub-project. The Local Community Centre Martinci will establish an independent LGD as described in the Project SEP. The members will be the PIU representative, municipality representative (delegated by the municipality), project affected persons representative delegated by the Project Affected Persons community during public consultations. |
| Monitoring Evaluation | & No specific monitoring procedures are required by RS laws to be implemented by the BoE. | The Borrower will establish procedures to monitor and evaluate the implementation of the plan and will take corrective action as necessary during implementation to achieve the objectives of this ESS. The extent of monitoring activities will be proportionate to the project's risks and impacts. For all projects with significant involuntary resettlement impacts, the Borrower will retain competent resettlement professionals to monitor the implementation of resettlement plans, design corrective actions as necessary, provide advice on compliance with this ESS and produce periodic monitoring reports. | The PIU will be responsible for monitoring in line with the requirements set out in the ESS, the RPF and this RP. All monitoring and evaluation measures must be demonstrated and documented to the satisfaction of the WB, and will be recorded in the internal periodical (monthly or quarterly) project progress report. Affected persons will be consulted during the monitoring process as described in the Chapter of this RPF about the SEL. |

3. Potential Sub-project impacts

3.1 Minimization of impacts

An alternative route for the Sub-project could not have been explored and the impact area itself could not have been avoided due to the nature of the Sub-project, since the alignment is set as it follows the position and the eroded and exposed coast of the river. It has been determined that Sub-project design induces minimum negative impact to PAPs and the community, as planned works will evoke only cases of partial plot and minor land acquisition, benefiting the whole community of Martinci, but foremost the impacted owners closest to the river and most susceptible to flooding risks. So, no further mitigation measures are necessary. Nevertheless, PIU will have open communication with stakeholders and monitor the situation in the community during Sub-project implementation in order to promptly discern any undetermined impact and apply mitigation measures in accordance with RPF and this RP.

3.2 Overview of Sub-project impacts

Implementation the Popova Bara Sub-project will require permanent land acquisition of land parcels, or part of parcels, at the left river Sava bank in the zone of the village Martinci, as shown in the Figure 4 below.

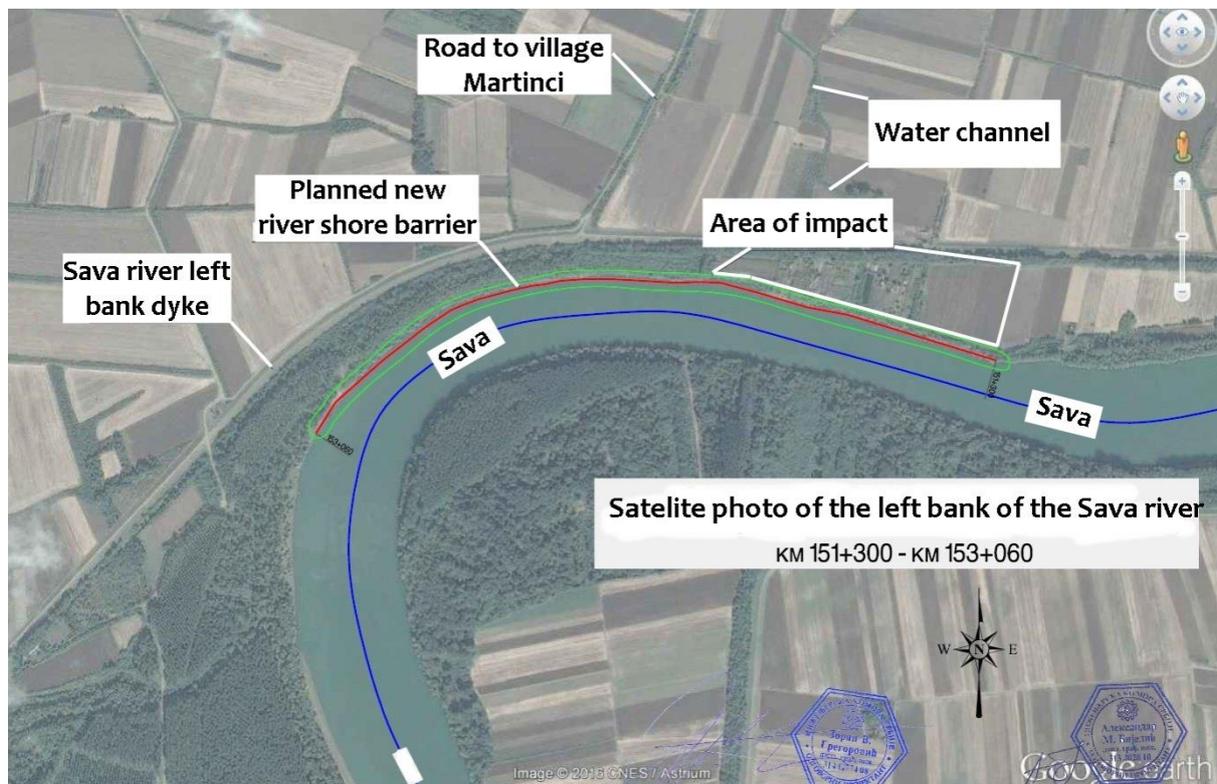


Figure 5 - Aerial photo of the Sub-project impact area

3.2.1 Affected land to be acquired by the Sub-project

The list of the affected land parcels include: 4984, 4985/1, 4985/2, 4986, 4987/1, 4987/2, 4987/3, 4987/4, 4987/5, 4987/6, 4987/7, 4987/8, 4987/11, 4987/12, 4988/1, 4988/2, 4988/3, 4988/4, 4988/5, 5414, 7575, 7576, 7578, 7867/2, 7867/3, 7868, 7873 and 7790 CM Martinci; altogether 28 land parcels. However, parcels: 4984, 4985/1, 5414, 7575, 7576, 7578, 7873 and 7790 are owned by the state and not used by the community, so they will be therefore omitted from further analysis. Other 20 parcels are privately owned and shown on the Figure 4 as "Area of impact".

The Table 2 below show complete list of land parcels that will be under impact of the Sub-project:

Table 2 - Impacted private land list

| N° | Plot number | Area m ² | Area to be acquired m ² | Classification | Owner (defined by ID code) | Building m ² | Remarks |
|----|-------------|---------------------|------------------------------------|---|----------------------------|-------------------------|--|
| 1 | 4985/2 | 1806 | TBD | Agriculture - 3. class | PB 001 | | |
| 2 | 4986 | 11006 | TBD | Agriculture - 3. class | PB 002 | | Not used, bogged field |
| 3 | 4987/1 | 1283 | TBD | Agriculture - 3. class | PB 003 | | |
| 4 | 4987/2 | 1833 | TBD | Agriculture - 3. class | PB 004 | | |
| | | 167 | | Other unfertile soil | | | |
| 5 | 4987/3 | 1000 | TBD | Agriculture - 3. class | PB 005 | 55 | Informal, built without proper building license, suitable for legalization |
| 6 | 4987/4 | 1000 | TBD | Agriculture - 3. class | PB 006 | | There are two structures not entered in the Cadastre |
| 7 | 4987/5 | 2000 | TBD | Agriculture - 3. class | PB 007 | | There is a structure not entered in the Cadastre, that may be affected |
| 8 | 4987/6 | 2000 | TBD | Agriculture - 3. class | PB 008 | | |
| 9 | 4987/7 | 2000 | TBD | Agriculture - 3. class | PB 009 | | There is a structure not entered in the Cadastre |
| 10 | 4987/8 | 1395 | TBD | Other unfertile soil | PB 010 2/3, PB 011 1/3 | | Completely eroded soil |
| 11 | 4987/11 | 4045 | TBD | Agriculture - 3. class | PB 012 | | |
| 12 | 4987/12 | 1087 | TBD | Other unfertile soil | PB 012 | | Completely eroded soil |
| 13 | 4988/1 | 4013 | TBD | Agriculture - 3. class | PB 013 1/2, PB 014 1/2 | | Bee-hives close to the coast |
| 14 | 4988/2 | 58 | TBD | Land under structure in agricultural area | PB 015 | 58 | Legalized structure in due process |
| | | 54 | | Land under structure in agricultural area | | 54 | Legalized structure in due process |

| N° | Plot number | Area m ² | Area to be acquired m ² | Classification | Owner (defined by ID code) | Building m ² | Remarks |
|----|-------------|---------------------|------------------------------------|---|----------------------------|-------------------------|--|
| | | 3900 | | Agriculture - 3. class | | | |
| 15 | 4988/3 | 80 | TBD | Land under structure in agricultural area | PB 016 | 80 | Legal structure |
| | | 13 | | Land under structure in agricultural area | | 13 | Informal, built without proper building license, suitable for legalization |
| | | 3920 | | Agriculture - 3. class | | | |
| 16 | 4988/4 | 4012 | TBD | Agriculture - 3. class | PB 017 7/12, PB 018 5/12 | | There is a structure not entered in the Cadastre |
| 17 | 4988/5 | 266 | TBD | Land under structure in agricultural area | PB 019 | 266 | Legalized structure in due process |
| | | 67 | | Land under structure in agricultural area | | 67 | Legalized structure in due process |
| | | 3679 | | Construction land outside construction area | | | Fish-pond and recreational structures, not affected |
| 18 | 7867/2 | 5755 | TBD | Agriculture - 2. class | PB 020 | | |
| 19 | 7867/3 | 11508 | TBD | Agriculture - 2. class | PB 021 | | |
| 20 | 7868 | 25076 | TBD | Agriculture - 2. class | PB 022 | | |

The data from the list above was acquired from the public real estate Cadastre survey. It is this SSS experience that, sometimes, official record data on ownership are inaccurate, mostly because owners do not update the records about death of previous owners and their heirs, or because of other reasons, so this Table may be updated before the actual expropriation takes place. However, eligibility of owners who have legal claims to the land are protected in the process of expropriation by national law regardless of prospectively inaccurate public real estate records.

It should be noted that confidential information about individual persons and properties will not be publicly disclosed and all persons will be assigned with an ID number for purposes of identification in the publicly disclosed document. The names are given now only to DfWM and WB discretion.

The fourth column in the Table above represent an approximation of area of land parcels that will be acquired. As mentioned, an Elaborate of expropriation, as part of the main construction design is not yet been finished. As previously explained, the expropriated part of the parcel should go only between two and five meters approximately from the actual shoreline into the private land, but as it is based on technical solutions depending on the actual condition of the coast at the perimeter. Also, large area has been eroded and now covered with the river bed, and that area yet needs to be determined. In some cases

(parcel number 4987/8 and 4987/12) parcels are now completely eroded and covered by the river bed, as shown in the Figure 5. It should be kept in mind that expropriation of eroded land parcel and payment of compensation, as explained, is in the best interest of the owners.



Figure 6 - Eroded parcels that will be completely expropriated

The land to be acquired by the Sub-project will be compensated to their owners at replacement cost, as per Entitlement matrix provided by the RPF and this RP. In most cases, small portions of individual land will be acquired and compensation will have to be cash based, in accordance with the national legislation and the ESS5. However, if in some cases larger plots are being acquired (only cases of eroded land plots as shown above and PAPs livelihood is land based, the owners will be presented with a choice between in-kind or cash compensation, in accordance with ESS5.

3.2.2 Affected structures

Two structures may possibly be affected by construction works and/or by land acquisition. They are located close to the present coastline, both were constructed by the owners of the land without building permit and not legalized and one of them is not entered in the Cadastre and real-estate public records. At this moment, it is not possible to say if and at what extend these structures will be affected as the Elaborate of expropriation, as stated, is not prepared yet, but some measure of impact to these structures is considered to be very likely. These are the two structures:

1. Structure located on parcel number 4987/4 - this is a wooden construction auxiliary structure used as a "summer house" for recreation and storage purposes.
2. Main structure on parcel 4987/5 - small wooden weekend house, used for occasional visits and rest.

No physical resettlement is needed, as neither of the PAPs or their families are living in these structures.

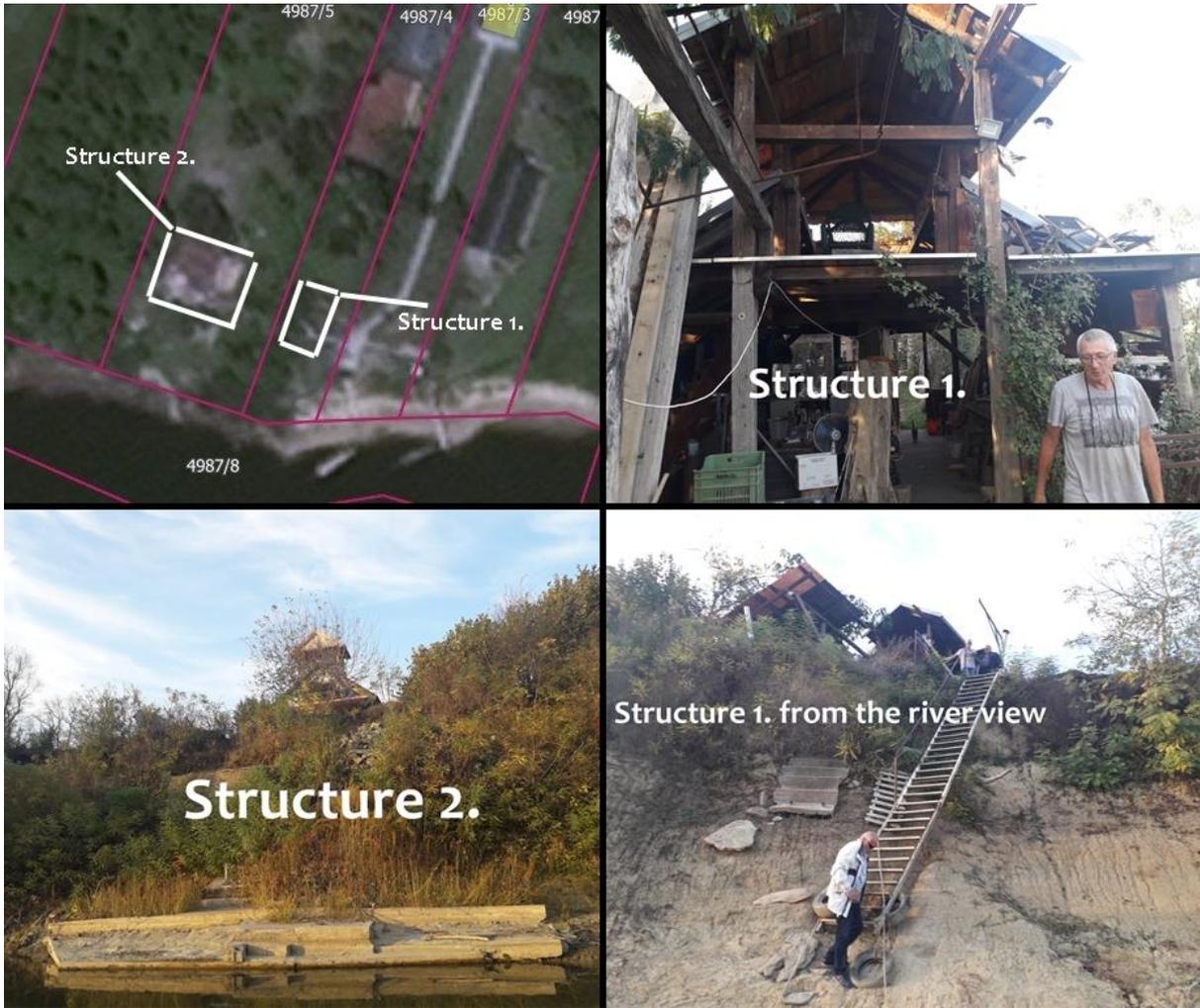


Figure 7 - Structures that may be affected by construction works

Resettlement and compensation strategy will be conducted by Entitlement matrix of this RP and according to standards and principles of ESS5 and this RP. Given the fact that it is possible that structures may be only partially affected and the nature of structures, negotiated settlement will be attempted to agree with the PAPs about possibility of structure relocation in addition to some appropriate relocation costs.

3.2.3 Affected perennial trees

On some land plots there are small orchards that are used for own consumption purposes. The land plots that have such orchards owned by respective owners of the plots, are: 4987/7, 4987/6, 4988/3 and 4988/2, mostly plum, apple and pear trees. In-depth Inventory of assets will show if there are more land plots with similar assets. Some of the trees are close to the coastline and may be affected by the construction and land acquisition. If that would be the case, the compensation will be paid to respective owners in accordance with Entitlement matrix provided in this RP. Figure 8 below shows orchard trees that may be affected.



Figure 8 - Orchards in two respective land plots

Additionally, the land plot 4985/2 is covered with forest area and if some of the trees are affected, the compensation will be paid to respective owners in accordance with Entitlement matrix provided in this RP.

3.2.4 Affected crops

Three land parcels are being used for agricultural production: 7868/1, 7867/2 and 7867/3. The land owners are engaged in agricultural production. Some small fraction of the plots may be affected and acquired and if this occurs, and if not harvested crops are affected by construction works, respective owners will be compensated in accordance with Entitlement matrix provided in this RP.

3.2.5 Affected bee-hives

Around 20 bee-hives are located in plot 4988/1 and used by a leaser. They are located close to the shoreline and if some of them are affected by land acquisition or construction works, it will be relocated in accordance with this RP Entitlement matrix at cost of the Sub-project.



Figure 9 - Affected beehives

3.2.6 Loss of access to natural resources

As it can be seen in the Figure 10 pictures below, some of the owners constructed stairway (no building permit of ownership documents of any kind) that allows them to descend to the river for access to small river boats, used mostly for recreational purposes and for access to their land and weekend houses from the river Sava (river way). During the on-site walk through we have found these stairway constructed at least on two parcels (4987/4 and 4987/6), but Inventory of affected assets may show there are more. However, these stairway route is not used only by respective owners of land plots, but by other members

of the Popova Bara community. These structures are of little economic value, but of much greater usage value to the community that enjoys full recreational aspects of the near river.

During construction work and by land acquisition, these stairways will have to be tore down and dissembled, but either the Sub-project construction plans will include new, constructed stairways (as we were told by the technical engineer of DfWM was done in case of another similar project at Gomolava) and owners will be compensated for its replacement value or respective owners will be compensated for full replacement cost (including disassembly costs and reconstruction costs after works are done), so they can reconstruct stairway by their own after works are finished, as per Entitlement matrix of this RP.



Figure 10 - Stairways for river access

3.2.7 Impacts caused by temporary occupancy of land

Concept design show that apart of land acquisition, probably all parcel owners will have to suffer additional impacts by temporary occupancy of land for construction purposes. The exact impact area is presently unknown, and will be determined by Elaborate of expropriation and contractor on-site in accordance with the conditions of the shoreline. The upper, green, dashed line show the "operational polygon" deemed to be needed for construction works, and as it can be seen, it can further influence some of the plot owners assets on the site. In that case, the resettlement strategies of this RP will apply.

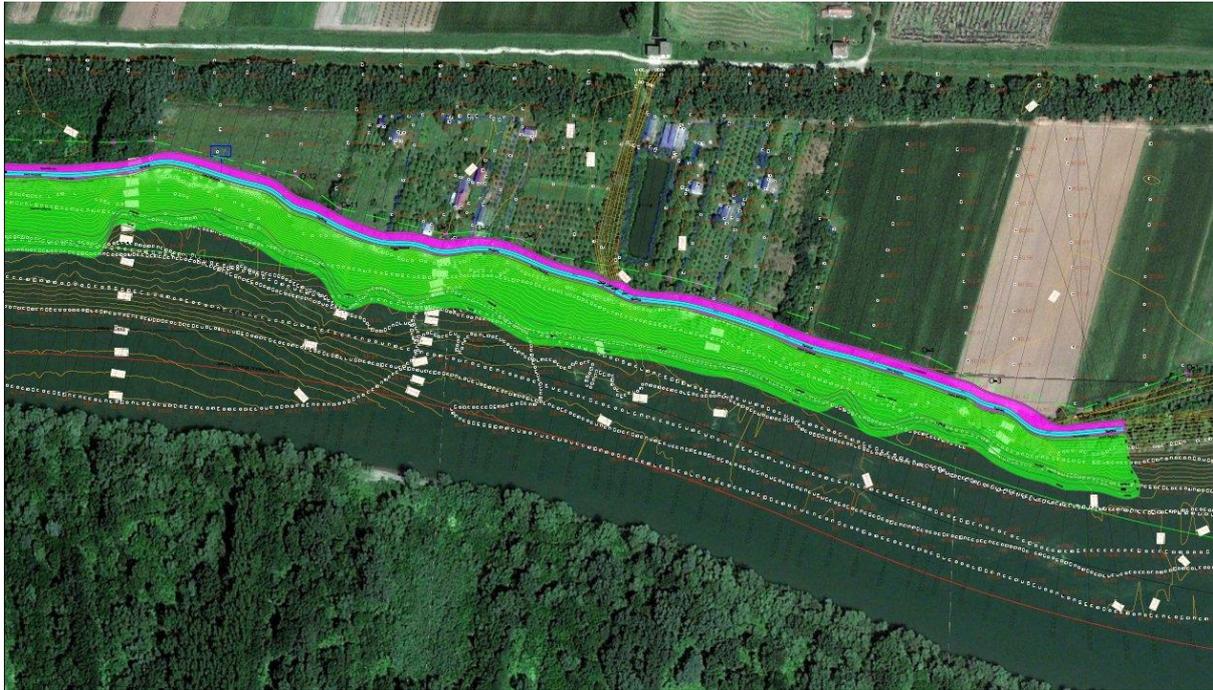


Figure 11 - Construction zones on aerial map of the area

When temporary land access for construction related purposes is required, PIU will ensure (through adequate provisions in the Contract for Construction works) the following activities are in place:

- ensure the Contractor enters into lease agreements with the owners for the duration of construction;
- the land will be reinstated at the end of construction to its previous state, at a minimum pre-construction conditions.

The carry out an exit inspection with the landowner to ensure that the land has been left in a suitable state whereby all previous activities may be resumed on the land. If the inspection deems that reinstatement is satisfactory, then a Land exit agreement will be signed by the Contractor representatives and the landowner. This agreement will confirm that the landowner is satisfied with the quality of reinstatement. Any claim arising from potentially unsatisfactory reinstatement, should be managed through the contractual provisions and, if the case may be, through the grievance mechanism. PIU will monitor such process. All damages made during construction on other assets will be compensated at full replacement cost by the Contractor, which will be part of the obligation of the provisions in the Contract for Construction works and of Lease agreements and Exit agreements.



Figure 12 - Temporary land access procedure

3.3 Census/inventory of affected assets

The Census/Inventory of PAP, household and asset is being developed in order to gather and analyse data and information required to identify all categories of impacts, the PAP directly affected by loss of assets caused by the implementation of the Sub-project.

The Census will contain data on the following:

- (i) Location and Cadastral Municipality,
- (ii) Land plot number,
- (iii) Type of impact,
- (iv) Name of PAP (owner or user),
- (vii) Type of asset on land affected,
- (viii) Compensation assessed,
- (ix) Other information relevant for individual case.

To comply with the extent of protection of personal data provided by the Protection of privacy and individual data Act of the Republic of Serbia⁶ the detailed excel file is kept as a separate file and at the PIU data base, available upon request with justified interest.

4. Preliminary socio-economic survey

4.1 Introduction, information about the community and its perception of the Sub-project

The RP preparation meeting and Socio-economic survey was conducted with the support of the Local Community Centre of Martinci, at the premises of the Cultural Centre of Martinci, on October 16, 2019. All PAPs were invited to attend by direct contact and phone. Given the fact that the Sub-project was launched in urgency, and that the local community had no information about the Sub-project apart of previous information from 2015 and 2016 when the concept design on reconstruction of the river Sava bank at Popova Bara was made, the first part of the meeting was spent in short Sub-project depiction (by technical engineer of DfWM) and information about social aspects of the Sub-project, ESS5 World Bank standards of resettlement, this Sub-project eligibility, entitlements and compensation policies. The second part of the RP preparation meeting was dedicated to attendees question comments and information presenting the affected area and the Popova Bara community that was fully recorded by this SSS and included in this RP. The third part of the public consultation was dedicated to Socio-economic survey and the fourth to on-site walk through with present owners of the affected land area.

Eleven out of 22 owners and co-owners attended the meeting, but some of them represented others that couldn't be present and will inform them on the public consultation agenda. The Chief of the Local Community Centre was also present. However, only seven out of 22 owners (and co-owners) owners participated in the Socio-economic study as they had previous engagements and had to leave the consultations earlier, but took the Survey questionnaire with them and will leave them at the Local

⁶ "Official Gazette RS", No. 97/2008, 104/2009 – other law, 68/2012 – Decision of the CC 107/2012)

Community Centre in Martinci. Two additional Survey questionnaire was delivered by PAPs and are subsequently included in this RP which makes out 9 participants out of possible 22 owners.- Additional socio-economic information will be gathered when more detailed information are available for the Sub-project (Elaborate of expropriation) and it will be included and analyzed in the amendment of this RP after the next Public consultations for RP disclosure along with other owners that in the meantime accept to participate in the Survey.

The attendance sheets and pictures from the Public consultation for preparation of the RP are presented in the Annex 3 of this RP.

The community of Popova Bara is a tight community, especially owners and their family that owns weekend cottages and visits the location many times, mostly during spring, summer and autumn season. They are dedicated to each other, organizing activities together and helping each other out in every aspect. Perception of the Popova Bara community to the implementation of the Sub-project is extremely favourable. The community is aware of the benefits of the Sub-project implementation in:

- flood protection, like the one happened in 2014 that caused major risks to their property and significant land erosion,
- stopping further land erosion,
- compensation to already lost land in natural erosion,
- potential development possibilities that will be brought to the entire area of Popova Bara as a recreational, weekend resort.

The Socio-economic survey was conducted facilitating the full inventory of persons and assets to be captured as well as the social baseline conditions to the most reasonable expected extent. The aim was also to solicit the opinions of the PAP about the Sub-project itself and its impacts, as well as to obtain specific data on current livelihoods and living conditions of PAP as well as to glance at the community perspective on reconstruction of the river bank. The survey served to present the first details of the Sub-project with relevant maps where all spots with mitigation measures were pinned.

The dataset gathered during the Socio-economic Survey provides a baseline of detailed socio, economic, household demographic and other relevant data for the Project Affected Population (see survey template (Annex 1) and additional information about the impacted property.

Confidential information about individual persons and properties will not be publicly disclosed and all persons have been assigned with an ID number for purposes of identification in the publicly disclosed document.

4.2 Demography of PAPs

4.2.1 Gender of owners and co-owners

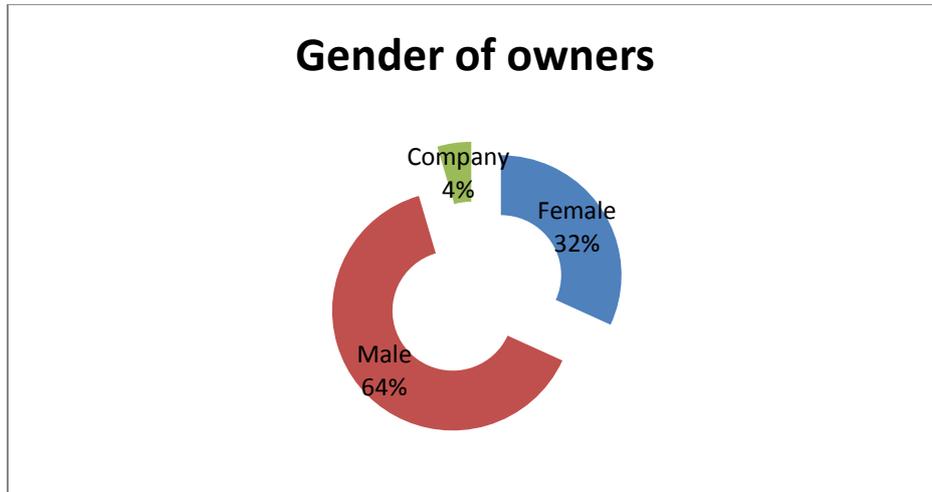


Figure 13 - Gender of owners

Out of 22 owners and co-owners of the affected properties, 14 are male, 7 female and one property is owned by a company (but not used for business). However, only one women were present at the public consultation meeting (apart of the Chief of the Local Community Centre), and she didn't remain until the Social survey part, but filled out and delivered Survey questionnaire which was included in the Survey analysis below.

4.2.2 Number of household members

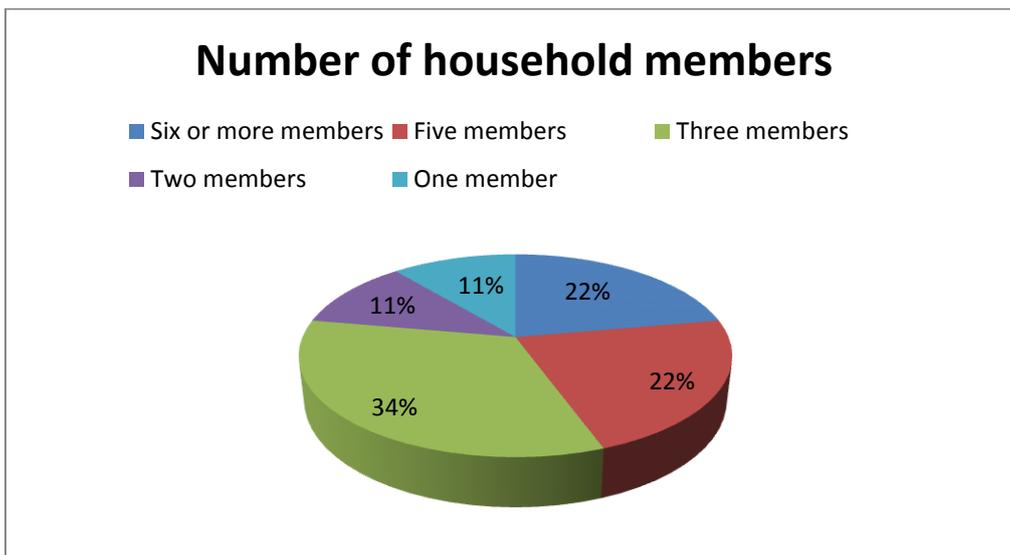


Figure 14 - Number of affected household members

Quick overview of households demography show that in large households three generations live together, while smaller households are older married couple, or in one case, a widower, with grown children living elsewhere. Three member households are families, with children.

4.2.3 Gender of affected household members

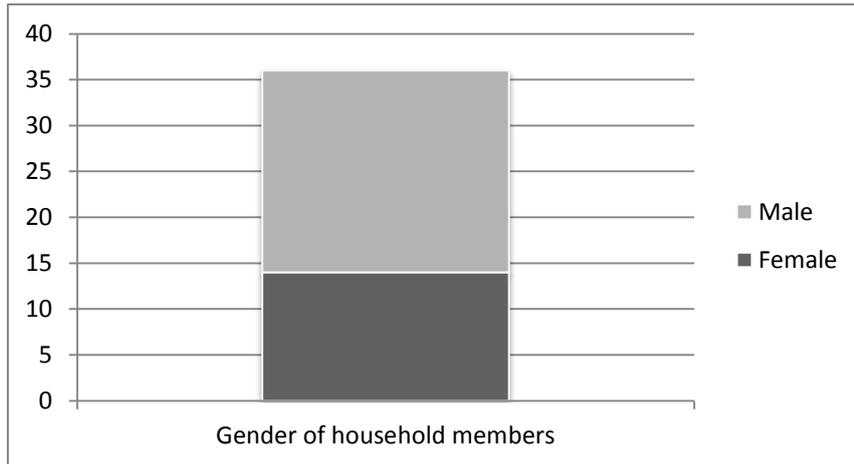


Figure 15 - Gender of affected household members

4.2.4 Age of owners and household members

Age of nine owners that participated in the Survey can be seen in the Table below:

| Age statistical class | Number of owners |
|-----------------------|------------------|
| Between 35-50 | 1 |
| Between 50-70 | 4 |
| Older than 70 years | 4 |

Table 3 - Age classification of owners attended Survey

Older family members heading the household are usually one that are recorded as property owners, as it can be seen in the Table above. However, the younger generation is present in the households, as it can be seen in the Figure 16 below:

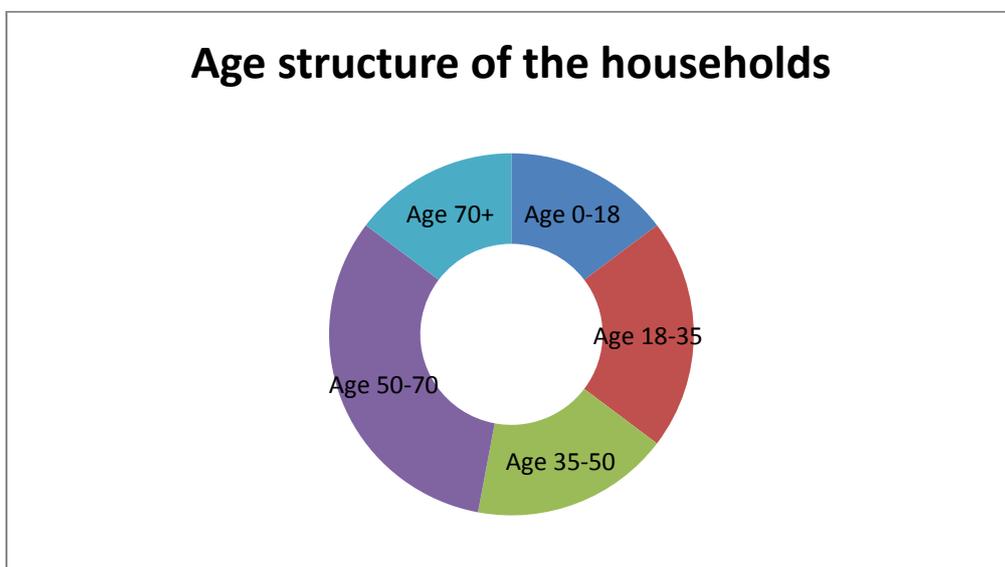


Figure 16 - Age structure of households

4.2.5 Educational levels

There are no illiterate members (older than 10 years old) in the community of Popova Bara and their households.

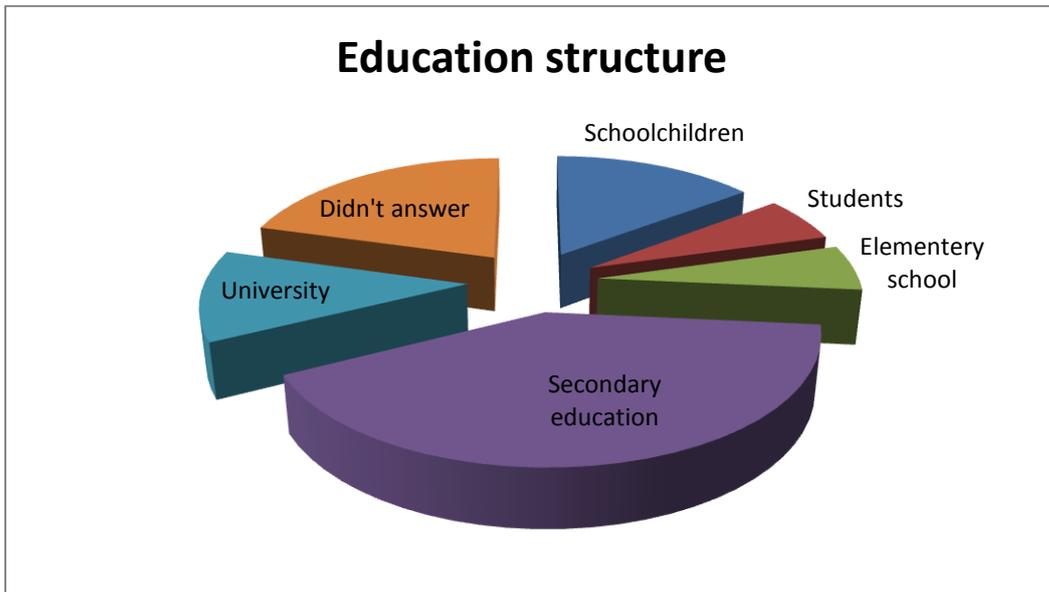


Figure 17 - Educational structure of households

In the Figure 17 above learning students and schoolchildren are put in separate categories from the grown up persons that attained certain levels of education.

4.3 Sources of livelihood and monthly income

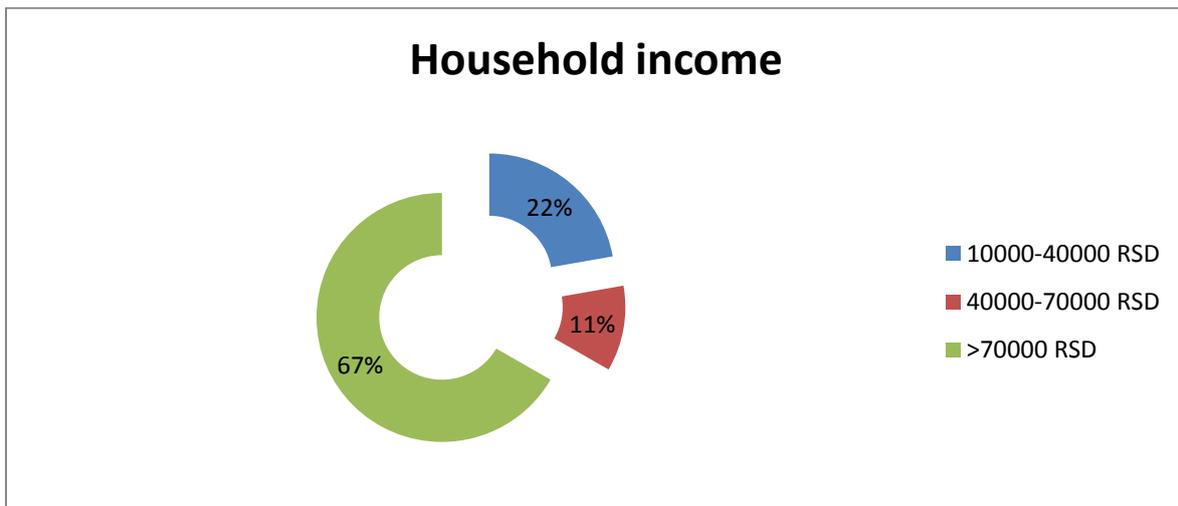


Figure 18 - Household income

Out of nine collocutors, all nine reported retirement pensions as part of household income, five have also employed person salaries to rely too and two households have reported significant income from private entrepreneurship. Only one of the households has a bank loan but they do not consider themselves over debited and no household members recently lost their employment.

Only one household, out of nine, is not engaged in some sort of agricultural activities. Two households have agricultural production only for own needs, but four households has agricultural

production for commercial purposes. Three out of seven households receive government agricultural incentives and four out of seven besides affected land, have additional property and land on other locations. Three households have larger production of annual crops and one produce fruit as their main product. One household produce agricultural goods on affected land for their own purposes (small scale farming, poultry, small orchard etc.) and one household have a fishing pond business on the premises (that will not be affected by the resettlements impacts).

4.4 Place of residence

Out of seven collocutors, four live in Sremska Mitrovica (city and municipality centre close to Martinci) and three live in the village Martinci. All of them live on their own real estate property. During on-site walk through, we found out that most of other owners reside in Sremska Mitrovica, one in Novi Sad and one in Belgrade, and they visit Popova Bara from time to time, mostly during summer months. More complete data will be available once that Survey has been fully completed, but it is known that none of the owners, their families or PAPs live in Popova Bara.

4.5 Information about community structures that may be affected

The only structure that could be affected by the construction works is a drainage channel. It's position can be seen in Figure 5 of this RP. However, the technical engineer attending Public consultation from the DfWM assured that it will not be affected during construction works. PIU will monitor this impact during construction works. Figure 19 show pictures of the drainage channel, in poor condition.



Figure 19 - Drainage channel

4.6 Vulnerability

The Study didn't identify any person that can be considered part of a vulnerable group. The impacts of the Sub-project land acquisition are small and unrelated to livelihoods. Thus, they do not pose further impoverishment or marginalization threats on any person(s) that could be in the further process identified as vulnerable. However, as 9 out of 22 owners participated in the Preliminary socio-economic study, the potential vulnerability of PAPs will be further reviewed when additional information is available (Elaborate of expropriation and complete Socio-economic survey).

5. Resettlement compensation strategies

5.1 Key principles

The RPF committed key principles which are incorporated into this RP. The principles for the impacts identified in this Sub-project could be briefly summarized as follows:

- Resettlement compensation strategy will be managed in accordance with Serbia national laws, ESS5, accepted international good practices, and the RPF. Where gaps exist between them, more stringent provisions will be applied. As a fundamental rule, under this RPF, during the Project's implementation, policy that is most beneficial to the PAPs will always prevail.
- PAPs will be assisted in their efforts to improve, or at least restore, their livelihoods and living standards, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of Sub-project implementation, whichever is higher.
- Negotiated settlements with PAPs are encouraged with the goal to help avoid administrative or judicial delays, and to the extent possible to reduce the impacts on affected persons. Therefore, the BoE and PIU will extend extra effort in attempt to reach settlement agreement with the PAPs as part of the expropriation process.
- Additional special support will be provided to vulnerable groups and/or individuals affected by the Sub-project, if any.
- The Sub-project will ensure a gender sensitive approach by inclusion of women, part of affected households. The documentation for ownership or occupancy, such as title deeds and lease agreements (including the bank accounts established for payment of compensation), will be issued in the names of both spouses, if the expropriated assets are part of marital property of both spouses.
- Affected People will be compensated for their losses at replacement cost to allow restoration at pre-displacement level.
- The RP implementation and outcomes in resettlement compensation will be monitored and evaluated by the PIU.

5.2 The cut-off date

The date of the invitation for Public consultation on this RP will be considered and announced as the cut-off date. Persons who encroach on the area after the cut-off date are not entitled to compensation

or any other form of resettlement assistance. Similarly, fixed assets (such as built structures, crops, fruit trees, and woodlots) constructed after the cut-off date will not be compensated.

The cut-off date is estimated to be in March 2020. The reason for the delay in announcing it goes to the benefit of the affected persons. The steep embankment is very prone to erosion and every year, people need to re-invest to protect their property and assets, commensurate to the water level that year. If the cut-off date would be announced this early, it would make the people in-eligible for any compensation of rightful investments done this year.

3 Eligibility and entitlements

The unit of entitlement is any individual eligible to receive compensation or rehabilitation benefits. Determination of unit of entitlement is in lieu with developing and identifying the Census and inventory of all assets impacted by the Sub-project to allow full compensation in line with the Entitlement Matrix of the RPF. All PAPs identified prior to the cut-off date shall be entitled to compensation, according to the compensation principles of the Law on expropriation, Law on fundamental property rights, RPF and ESS5 whereas the more stringent in requirements shall prevail.

Project Affected Persons are defined to include the following categories:

- PAPs with formal title ownership on the land, that lose all or part of their land;
- PAPs with formal title ownership, who have immovable property with or without building permissions on the land to be expropriated;
- PAPs with formal title over businesses that are affected by the loss of all or part of the land on which businesses are located;
- Project affected persons with formal title over animal husbandries and agricultural processors that are affected by the loss of all or part of the land on which they are based;
- Project affected persons with formal title of tenancy on private or public land;
- Project affected persons with formal title over land that will be needed during construction on a temporary basis;
- Project affected persons without formal title on affected land or businesses but their livelihoods are directly dependent on the affected land or businesses (e.g., those working on affected agricultural land or working in the affected businesses);
- Project affected persons without formal title of ownership or use but who have established usage of public or private land by investing in immovable objects, crops, woods, trees, fruit bearing trees, vineyards, the age of crops, and the time needed to reproduce them;
- Persons without any formal or recognisable legal right to the property they are occupying prior to the Cut-off date; and
- Any affected community facilities will also be reconstructed or necessary support will be provided.

The entitlements for different categories of impact and PAPs shall be as per the Entitlement Matrix as adopted in the RPF for the SDI Project. Here, entitlement matrix is shown for impact categories relevant for the identified impacts only:

| Type of loss | Person with rights | Compensation policy |
|--|--|---|
| | PAPs without formal title (users of agricultural land and livelihood is land based) | <p>PAPs without a formal title who were in possession of the cultivated land on Cut-off date will not receive compensation for the land but will be compensated for all investments made on land at replacement cost and will be offered use of other land with safe and long-term agricultural use (lease of state land), or</p> <p>compensation for the cost of identifying a viable alternative location,</p> <p>+</p> <p>Costs of equipment relocation and installation</p> <p>+</p> <p>Lost net income during the period of transition</p> <p>+</p> <p>Transitional allowance and assistance, if needed.</p> |
| Unviable land, agricultural or construction | Property owners, or users of publicly/state owned construction land | In case the remaining area of land is not viable, it can be expropriated upon PAPs request and compensated according to type of property |
| PLANTS AND STRUCTURES ON AGRICULTURAL LAND (but not houses) | | |
| Loss of annual crops, that could not have been harvested prior to land repossession | Owners of crops (it is not of importance if the owner of crops is owner of land, or lessee, or informal owner/user of land providing that they bore costs of planting crops) | Cash compensation at replacement cost |
| Loss of perennial plants and trees (fruit bearing trees, vineyards and fruit bearing plants) | Owners of plants (it is not of importance if the owner of plants is owner of land, or lessee, or informal owner/user of land providing that they bore costs of planting) | The right to collect fruits |
| Affected vineyards and orchards not yet fruit bearing | | + |
| Wood mass (mature or nearly mature) | | Cash compensation at replacement cost on the basis of sort, year and productive value, including the value of time needed to produce such crop and net income loss, as well as costs of investment (work and labour force), to plant a new vineyard, orchard or similar, till the moment it reaches the full fructuous potential. |
| Forests without mature wood mass | | Cash compensation for the investment in planting a new vineyard or orchard (labour, seedlings), including the value of time needed to reproduce a replacement vineyard or orchard and net income loss. |
| | | The replacement cost determined based on the value of the “wood on the stump” at market value |
| | | Cash compensation for the investment in planting a new forest, including the value of time needed to reproduce a replacement forest and net income loss. |
| BUSINESS (but not agriculture) | | |

| Type of loss | Person with rights | Compensation policy |
|---|---|---|
| PHYSICAL RESETTLEMENT | | |
| Buildings (residential, houses, flats etc.) | Owner with formal title (including owner with legally recognisable claim) | Cash compensation at replacement costs, or; replacement property of equal or higher value, in direct proximity or in the surroundings of the expropriated property together with all costs of resettlement and administrative fees needed for transfer of ownership rights, if any; |
| | Informal owner - building constructed without building permit on one's own plot of land if subject to legalization | + Payment for relocation costs (moving allowance) and compensation for other costs during relocation and cash compensation on a one time basis (transitional allowance). + Transitional assistance suited to the needs of each group of displaced persons. Cash compensation for the building at replacement cost of the structure |
| | Informal owner - building constructed without building permit on one's own plot of land or constructed without building permit on someone else's or state-owned - not eligible for legalization | + Provide arrangements to allow them to obtain adequate housing with security of tenure (if they don't own other structures) + Payment for relocation costs (moving allowance) and compensation for other costs during relocation and cash compensation on a one time basis (transitional allowance) + Transitional assistance suited to the needs of each group of displaced persons. Payment of resettlement costs and compensation for other costs caused by relocation and cash compensation on a one-time basis (transitional allowance) |
| | Lessee of the affected property | + Transitional assistance suited to the needs of each group of displaced persons. |
| Other resettlement situations | | |
| Loss of access to usual natural resources and buildings | Communities, businesses, individuals or households | Replacement of public ownership or conveniences (roads and similar) and provide access to equal conveniences or services. Measures will be implemented to either allow continued access to affected resources or to provide access to alternative resources with equivalent livelihood-earning potential and accessibility. Where common property resources |

| Type of loss | Person with rights | Compensation policy |
|---|--|--|
| | | are affected, benefits and compensation associated with restrictions on natural resource usage may be collective in nature |
| Impacts caused by temporary occupancy of land and any damages to the property | Property owner (including owner with legally recognisable claim) | Market price of lease for duration of the occupancy. The land must be returned to original condition. Improved quality of the land due to top soiling work should not be removed, except if agreed differently with PAP. + Replacement cost in accordance with this matrix for affected crops, orchards, nurseries etc. + Compensation for any damages to the property evaluated at replacement costs. |
| Undetermined impact | Owner or beneficiary | Any undetermined impact will be mitigated in accordance with principles and aims of this RPF |

5.4 Valuation

The process to be followed during valuation of assets requires that at the valuation stage, the BoE, which is the PWMC Vojvodina Vode in the particular case of this RP, will require the Tax Administration office (in Sremska Mitrovica, but part of the MoF authority structure) to provide the assessment of the value for the impacted land. In the case of agricultural land, the BoE will contact the MoAFWM to provide comparable replacement agricultural land for land swap if available and if the owner opts for land swap. In addition, the BoE will hire, at its own expense an accredited expert(s) to assess the value of the structure and other assets, if any. The valuation shall be based on the replacement value. Once the valuation is completed, the BoE will offer compensation based on the assessment and the Entitlement matrix compensation policy, to the PAPs. If the PAPs does not accept the compensation offered, additional efforts will be made to reach a negotiated settlement. If all efforts fail, expropriation process will continue and PAPs will be able to resort to the court appraisal, following the standard procedures set forth by Serbian law. The ruling of the court will determine who pays the cost of litigation which by virtue of the Law is the BoE.

The process of valuation will be guided and monitored by the PIU.

6. Grievance mechanism

6.1 Organizational arrangements for GM management

The RPF has outlined the basic requirements for GM and management of its activities. After dully consideration, the GM will be tailored to fit the purpose and mirror the local needs, nature of the Sub-project, purpose of the affected area, local customs, habits and expectations of the community. There are also several complementary mechanisms in place (e.g. the Property department of Municipality of Sremska Mitrovica, The Engineer on Site (when appointed) and the PWMC).

An official and formal LGD and CFD will be established as a forum for grievances and comments in relation to expropriation, temporary impact to any kind of property, damages in relation to execution of the works. The information about the GM will be publicly disclosed and available on the notice board in LM of Sremska Mitrovica and local office in Martinci.

The LGD in case of this Sub-project shall be a body of three members. This is deemed to be sufficient capacity to deal with grievances. One of the members is a representative of PAPs one a local official from the Local Community Centre in Martinci not directly involved in the process of expropriation to ensure full impartiality and the third member is a representative of the PIU. The LGD will meet as necessary, depending on the range and nature of grievances. The LGD is responsible for receiving and responding to grievances/comments of the following two groups:

- Persons directly affected by the Project including land acquisition impacts & rights to be exercised over occupied land.
- Residents living in the Project area who are interested in and/or affected by the Sub-project.

The LGD work will be supported by the PIU and the Local Community Centre in Martinci.

The PIU/DfW in cooperation with the Local Community Centre will distribute Grievance Brochures to inform about the GM, its function, the contact persons and the procedures to submit a complaint in the affected areas and made Information on the LGD available at:

- the notice board and website of Municipality of Sremska Mitrovica (<http://www.sremskamitrovica.rs/>), and the notice board of local office at Martinci (in village centre); and
- on the Construction Site in the Camp of the Engineer,

To allow easy access to the GC a printout of the grievance recording form will be available at the Municipality of Sremska Mitrovica and at local office at Martinci, and for download on the website of the Municipality of Sremska Mitrovica and of the PIU. The grievance recording form template is presented in Annex 2 to this RP.

6.2 Grievance procedure

The grievance procedure is designed to be effective, easy, understandable and without costs to the complainant, and fully described in the Project RPF. Any grievance can be brought to the attention of the GM personally or by telephone, or in writing by filling in the grievance form (by post, fax or personal delivery to the either of the addresses/numbers given below:

Local Community Centre Martinci
Local Grievance Desk
Radnička 8,
Martinci

Project Implementation Unit

Dr. Ivan Ribara 149

Phone Number:+381 116163600

If the grievance collection points change, this will be announced and reflected through an updated RAP and in relevant public spaces.

All grievances will be recorded in the Grievance Log register and assigned with a number, and acknowledged within 3 working days. The Grievance Log register will have all necessary elements to disaggregate the grievance by gender of the person logging it as well as by type of grievance. Each grievance will be recorded in the register with the following information:

- description of grievance,
- date of receipt acknowledgement returned to the complainant,
- description of actions taken (investigation, corrective measures), and
- date of resolution and closure / provision of feedback to the complainant.

The LGD will make all reasonable efforts to address the complaint upon the acknowledgement of grievance. If the grievance/complaint is vague and not clear enough, the LGD is obliged to help and provide counsel and even help in redrafting the submission, in order for the grievance/complaint to become clear, for purposes of an informed decision by the LGD, in the best interests of persons affected by the Project. If the LGD is not able to address the issues raised by immediate corrective action, a long-term corrective action will be identified. The decision of the LGD shall give a clear assessment on the grievance/complaint, clear ruling and recommendations for fair remedy and propose measures to modify future conduct that caused the grievance as well as proposed measures to compensate if mitigation measures cannot remedy the harm or injury. The decision shall be in writing and shall be delivered to the person who filed the grievance as well as to any other person or entity to which the recommendation and measures shall apply or is under obligation by Law. The person who filed the grievance can express his/her personal satisfaction to the outcome of the grievance resolution procedure.

The complainant will be informed about the proposed corrective action and follow-up of corrective action within 7 working days upon the acknowledgement of grievance.

If the particular issue rose through the grievance mechanism cannot be addressed or if action is not required, a detailed explanation/ justification will be provided to the complainant on why the issue was not addressed. The response will also contain an explanation on how the person/ organization that raised the complaint can proceed with the grievance in case the outcome is not satisfactory.

The GM has the possibility to hire, with costs covered by the Beneficiary of Expropriation, an accredited expert to review and assess the compensation value offered for assets, the comparability of offered agricultural land, or for other issues. If the GM determines that the compensation value offered is not in accordance with this RP, or offered agricultural land is not of comparable value, they can request the Beneficiary of Expropriation to pay the assessed fair value. If after mediation, the project affected person, offered comparable agricultural land or assessed fair value for immovable property, disputes either the comparability or the assessment of fair value, they are free to enter the judicial process in a manner consistent with the current Law on Expropriation.

At all times, complainants may seek other legal remedies in accordance with the legal framework of Republic of Serbia at any time.

The LGD will publish, and provide the GM with copies, one intermediate report on the number and type of grievances received, comments addressed and closed-out, disaggregated by gender and type of grievances/comments two months after establishment, another intermediate report during construction works and one final report after completion of works.

7. Consultation and participation

7.1 Public consultation principles

The PIU will establish an ongoing relationship with affected community, through SSS and other specialist, from as early as possible in the Sub-project planning process, and throughout the life of the Sub-project. The engagement process will ensure their meaningful consultation in order to facilitate a common understanding of the nature and duration of the impacts; informed participation on matters that affect them directly; proposed mitigation measures; the sharing of development benefits and opportunities; and implementation issues. Individual meetings may be held with PAPs regarding specific cases, including upon request by PAPs.

The PIU will disclose sub-project information to allow the affected community and other stakeholders to understand the risks and impacts of the Sub-project, and potential opportunities. The Borrower will provide the community and hold meaningful consultation with access to the following information:

- The purpose, nature and scale of the project; (done on October 16, 2019)
- The duration of proposed project activities;
- Potential risks and impacts of the Sub-project on local community;
- The proposed stakeholder engagement process highlighting the ways in which stakeholders can participate;
- The time and venue of any proposed public consultation meetings, and the process by which meetings will be notified, summarized, and reported; and
- The process and means by which grievances can be raised and will be addressed. (described in Chapter 6 of this RP)

The information will be disclosed in relevant in local language and in a manner that is accessible and culturally appropriate.

The PIU will undertake a process of meaningful consultation in a manner that provides the public and other stakeholders with opportunities to express their views on project risks, impacts, and mitigation measures, and allow the PIU to consider and respond to them. Meaningful consultation will be carried out on an ongoing basis as the nature of issues, impacts and opportunities evolves. Meaningful consultation is a two-way process.

The Project Implementation Unit (PIU), together with the representatives of Municipal departments in charge of expropriation, is responsible for communicating with affected communities, Project Affected People (PAPs) and representatives of Local Community Centre. The PIU will assist the Municipalities in understanding the requirements set out in the RPF and RP.

7.2 Disclosure and public participation requirements during preparation of draft RP and final RP

The PIU, together with the involved Local Community Centre of Martinci, will carry out public consultations on the RP and information dissemination that will reflect main issues of relevance to the Sub-project. Immediately after the official start of the Sub-project, all stakeholders will be timely informed about the Sub-project's scope and contacts for further information inquiries, the available grievance mechanism and the disclosure of the publicly available Project documents through:

- announcements in the local daily media,
- the website of <http://www.sremskamitrovica.rs>
- notice board of Local Community Centre of Martinci

This RP draft will be disclosed in Serbian and English on the above-mentioned websites, as well as made available as printed copies at the premises of Municipality of Sremska Mitrovica, Local Community Centre of Martinci and the premises of the PIU.

The draft RP will be published in Serbian and English language on the website of the MoAFWM and of DfWM and at the website of the PWMC “Vode Vojvodina“. The invitation to the public consultation will be published in a newspaper with national coverage, in local media and announced on notice boards of Sremska Mitrovica municipality and Local Community Centre of Martinci. The invitation will include information on where the public consultation will be held, where the draft RP can be accessed, what other topics will be discussed, post, email address and phone number when questions can be asked or comments given to any of the proposed subject, including comments on this RP.

The public consultation will not be held at least 14 days before the RP draft has been published, so interested parties can send questions in writing (e-mail or letter) or by phone call on numbers established for this purpose or ask question by e-mail received regarding any other proposed topic for consultation.

During the public consultation all stakeholders will be able to raise their concerns views and express opinion either orally or in writing. During the meeting, information to the project affected persons about the project will be presented, including the anticipated impact on assets property with maps, their entitlement based on this RP (a brochure with copy of the entitlement matrix and salient features of the RPF and RP will be made available to participants. A separate brochure will be distributed in respect of the role of the GM and LGD as part of grievance redress. Stakeholders unable to attend the meeting will be able to raise their concerns, views and express opinion in writing.

8. Institutional arrangements and implementation

8.1 Responsibilities for implementation

This RP will be implemented by the DfWM of MoAFWM, which serves as the Project Implementation Unit (PIU) as described in more details further through the document. The PIU has consistent experience in implementation of World Bank funded projects and has built a positive track record in safeguards monitoring and compliance throughout the implementation of Flood Emergency Recover Project. Based on national laws and regulation, responsibility to manage water structures and water land on the territory of Autonomous Province Vojvodina (where this Sub-project is located) is assigned to PWMC Vode Vojvodine. The PIU will monitor the overall implementation of the Sub-project, cooperate with the Municipality of Sremska Mitrovica, Local Community Centre in Martinci and with the Contractor that will be awarded with the contract the construction works. Other organizations and parties involved in the process of expropriation are the Ministry of Finance, Tax Administration, and accredited experts.

The table below provides an overview of the responsibilities and arrangements for implementing the requirements of this RP:

Table 5 - Implementing responsibilities and arrangements

| Task | Responsible Entity |
|------|--------------------|
|------|--------------------|

| | |
|--|--|
| Disclosure of information and documents to all PAPs and communities, and organization of at least three public meetings at commencement, mid-term and Project completion | PIU |
| Keeping records of consultation activities | PIU |
| Direct communication with and, if needed, visits to owners and occupants | PIU |
| Valuation of property to be acquired | Municipality of Sremska Mitrovica Tax administration office and Accredited experts |
| Expropriation of property | Municipality of Sremska Mitrovica |
| Monitoring and reporting with respect to land acquisition | PIU |
| Monitoring and reporting with respect to temporary land occupation carried out during construction | PIU/Beneficiary of Expropriation, Municipality of Sremska Mitrovica, Contractor |
| Grievance management | PIU/LGD/Contractor |
| Completion Report | PIU |
| Operation and overall management of rivers and canals | PWMC Vode Vojvodina |

8.2 Institutional capacities

The implementation of the provisions and obligations under this RP shall be by the MoAFWM which assigned responsibility for implementation to DfWM. The DfWM already has an established PIU for a World Bank financed Irrigation and Drainage Rehabilitation Project which closed on March 31, 2013 and the mentioned FER Project. During preparation of investments and implementation of works, the PIU will work in close coordination with the relevant PWMC Vode Vojvodine, as well as with the Municipality of Sremska Mitrovica and Local Community Centre at Martinci.

The staff assigned for implementation of this RP and the Popova Bara Sub-project is deemed to be sufficient to carry out all tasks related to ARAP implementation.

The organization of the PIU is presented in the hierarchy chart below:

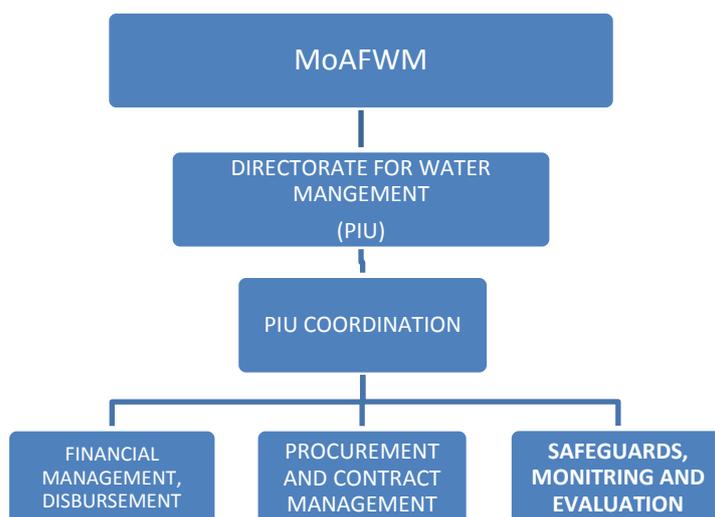


Figure 20 - Organizational chart of the PIU on Popova Bara Sub-project

8.3 Implementation schedule

Table 6 - Tentative implementation schedule for RP Popova Bara

| | Activity | Responsibility | 2019 | | 2020 | | | 2021 |
|----|-----------------------------|---------------------|----------|----------|----------|-------|-----|------|
| | | | November | December | February | April | May | May |
| 1. | Disclosure of RP draft | PIU | 30 | | | | | |
| 2. | Public consultative meeting | PIU | | 16 | | | | |
| 3. | Disclosure of | PIU/Municipality of | | | 15 | | | |

| | | | | | | | | |
|----|----------------------|------------------------------|--|--|--|----|----|----|
| | final ARAP | Sremska Mitrovica | | | | | | |
| 4. | Valuation of assets | Tax administration | | | | 30 | | |
| 5. | Compensation payment | Beneficiary of expropriation | | | | | 15 | |
| 6. | Establishing LGD | Local Community Centre/PIU | | | | 1 | | |
| 7. | Completion report | PIU | | | | | | 31 |

9. Monitoring, evaluation and reporting

9.1 Internal monitoring and adaptive management

Monitoring of the land acquisition and resettlement process will be conducted by the PIU during the complete Sub-project life cycle, in order to:

- ascertain whether activities are in progress as per schedule and the timelines are being met;
- assess whether the compensation / rehabilitation measures are sufficient;
- identify any unanticipated changes in Sub-project conditions that influence resettlement, or unanticipated obstacles to achieving satisfactory resettlement outcomes;
- identify any potential issues (including monitoring of grievance management in terms of recording and responding to grievances within the specified timeframe); and
- identify methods to mitigate any identified issues.

In addition, the construction work supervision consultant will have a duty and obligation to monitor implementation of social requirements during construction. The following shall be their duties:

- Supervision of the contractors in performance of their obligations and RP implementation;
- Preparation and submission to the Employer of regular reports on implementation of measures for social monitoring;
- Provision of service to the local community through receipt of feedback and proposals;
- Suspension of works causing a high level of noise in periods when such noise is not allowed, or inadequate protection of local population and environment against dust and pollution is provided.

The notice board on construction sites will include clearly visible information on contact persons on behalf of the supervision, as well as working hours for contacts with the public. All complaints, praises, proposals received by the supervision contractor, would be forwarded together with the Supervisor's opinion to the PIU for further action. The idea is that every reaction of the local population is provided with a timely response, while a unified record of the correspondence with the local population will be presented in regular reports to the WB. It is very important that local population shall be fully aware of complaint possibility and that mechanism for submission of complaints is very simple.

If unanticipated changes in Sub-project conditions that influence resettlement, or unanticipated obstacles to achieving satisfactory resettlement outcomes are identified either by the PIU internal

monitoring, the SSS or the Supervision, the PIU and the SSS will promptly gather all information about the unanticipated changes and obstacles. In that course, on-site visits, interviews with the PAPs or/and other stakeholders and institutions involved, creation of studies and other documents will be conducted. After the case is clear, in accordance with the obstacles characteristics, PIU will design measures to overcome and/or mitigate obstacles identified, in line with ESS5, the RPF and this RP adopted principles. PIU will inform the WB without delay of any such changes and obstacles, and if needed ask permission for implementation of measures to overcome the new conditions.

At the final stages of the works execution, the supervisor will distribute a questionnaires to affected community and local population in order to assess the level of content. The questionnaires would be sent to the mailboxes and filled out questionnaires could be delivered in person to the supervisor's representative or sent them by regular post to the PIU address.

Experience gained during the realization of the different infrastructure rehabilitation projects in Serbia, funded by WB Loans, significantly contributed to preparation of bases for social monitoring and assessment subsequent mitigation of impacts produced by the project on local community. Related to positive practice, PIU representative will perform regular controls of measures taken by the contractor and supervision, and control will be performed during the complete life of the Sub-project.

9.2 Evaluation

The PIU maintains a land acquisition database, and the owners/users of properties that have been affected. The data/information will be updated periodically in order to keep track of the progress of such owners/user.

Hereby indicators to be used for monitoring of implementation of this RP are designed and shall include *inter alia*:

- Number of PAPs by categories;
- Number of public meetings with affected persons;
- Number and percentage of negotiated settlements signed;
- Number of economical resettlement cases;
- Number of auxiliary structures affected;
- Area in m² expropriated;
- Number of completed land acquisition cases compared to expected total number;
- Number of PAPs compensated compared to the expected total, disaggregated by types and reason for compensation;
- Amount of compensation paid compared to the expected total;
- Number of temporary occupied private property land plots by the contractor for construction;
- Comparison of data on livelihood of affected persons before and after the Sub-project;
- Number and type of grievances received by the GM related to land acquisition (number of grievances submitted, number and percentage of grievances resolved within the specified timeframe, number and percentage of people satisfied with the outcome, i.e. the response provided to their grievances/comments, all disaggregated by gender of complainant), and number of legal actions initiated in relation to land acquisition);
- Number of grievances received by the GM related to construction works;

- Number of engaged local workmanship for the purpose of the works execution (person-month and number of actually employed persons disaggregated by gender);
- Number of contracts concluded by the contractor in local community (e.g. for accommodation of workers, lease of lots for storage of materials, etc.)

The PIU shall produce Monthly reports and send quarterly/semi-annual updates to the World Bank.

9.3 Completion report

The DfW/PIU will monitor the implementation of the resettlement, relocation and in-kind compensation processes, both through internal, official institutional arrangements, as well as by an independent, external consultant, to be appointed by implementing entity. The external monitoring and evaluation consultant will be appointed prior to construction starting on any Sub-project and will have obligation of reporting on semi-annual bases.

Based on the semi-annual report the PIU shall do an in-house completion report to summarize the implementation of the RP. The report should verify that all physical inputs committed in the RP have been delivered and all services provided. The socioeconomic status of the affected population should be measured against the baseline conditions of the population before displacement, as established through the census and socioeconomic studies. The internal completion report is considered appropriate in comparison to the extent of impact.

10. Costs and budget for resettlement

The Budget will envisage earmarked proceeds for land acquisition. As per national requirements the costs of clearance of land will be financed by the Beneficiary of expropriation i.e. PWMC Vode Vojvodine. The resources required for losses of assets must be secured and allocated in the in the PWMC budget for the year 2020. It is estimated that the cost of implementation of this RP will equal to cash compensation at replacement cost and transitional allowance and livelihood restoration assistance, if needed.

The current RAP contains a list of affected owners and the total land area. At this stage, detailed technical designs showing exact impact on each parcel of land and assets (fences, trees etc.) is not available. Hence it is not possible to identify the exact dollar expense per individual household. This information will be incorporated into an updated RAP once the design is detailed and detailed budgets identified.

Annex 1 - Socio-economic questionnaire

| | |
|----------------------|--|
| Questionnaire number | |
|----------------------|--|

Survey date: 16.10.2019

Municipality: Sremska Mitrovica

Location: Martinci

Address:

Cadastral plot reference:

Whole plot affected: YES / NO

If no, specify the size of the non-affected part: m²

1. GENERAL INFORMATION ON THE RESPONDENT

1.1. Respondent's name and surname:

1.2. Place of living:

1.3. Owner or user of land plot:

1.4. Ethnic group:

1.5. Phone number:

2. GENERAL INFORMATION ON AFFECTED PROPERTY (PLOT)

2.1. Location of the plots, total number per location and cadastral reference

| Location | Total number of plots | Cadastral plot reference | Current use <i>Tick applicable use</i> |
|----------|-----------------------|--------------------------|--|
| 1. | | | Arable land Forestry Pasture Unused |
| 2. | | | Arable land Forestry Pasture Unused |
| 3. | | | Arable land Forestry Pasture Unused |

3. OWNERSHIP ISSUES

3.1. Owner: Yes No

3.2. User: Yes No

| 3.3. Ownership details | | |
|----------------------------------|--|--|
| With fully registered title | | |
| Legalization in progress | | What stage is the legalization process in: |
| Formal lease of public property | | Owner |
| Formal lease of private property | | Owner (contact details): |
| Informal lease | | Owner (contact details): |
| Fully informal | | How did the owner take possession of the above-mentioned plot? |
| Shared ownership YES / NO | | If yes, name other co-owners: |

Tick applicable box

4. AGRICULTURAL ACTIVITIES

4.1. Perennial / annual species:

4.2. If perennial: Year of plantation: _____

4.3. Average yield on this plot according to farmer: _____ (specify measurement unit).

4.4. Overall land holdings of the farmer, including this plot and all others: _____ hectares

Including farm lands, pastures, Forestry, arid and unused land – Include all land available to the farmer regardless of ownership.

4.5. Of which farmed this year: _____ hectares

5. AGRICULTURAL ACTIVITIES

5.1. Do you sell crops

5.2. If yes, what is your average annual income generated by selling crops:

5.3. Do you use crops to meet your own needs (consumption)?

5.4. Did you have any plans with affected part of the land plot? YES / NO

5.5. If yes, what would you like to do with affected part of the land plot? _____

6. GENERAL INFORMATION ON AFFECTED HOUSEHOLD MEMBERS

6.1. Information on household members

| | Name and surname | Relationship with Head of Household | Year of Birth | Gender | | Occupation | Education (degree or vocational education) |
|---|------------------|-------------------------------------|---------------|--------|---|------------|--|
| | | | | M | F | | |
| 1 | | | | M | F | | |
| 2 | | | | M | F | | |
| 3 | | | | M | F | | |
| 4 | | | | M | F | | |
| 5 | | | | M | F | | |

7. SOCIO-ECONOMIC DETAILS ABOUT THE AFFECTED HOUSEHOLD

VULNERABILITY

| 7.1 Is any of the household members suffering from one of the following problems: | 7.2. Social benefits (yes or no, if yes which kind of assistance) |
|---|---|
| Physical handicap | |
| Mental handicap | |
| Chronic disease requiring regular medical attention | |
| Chronic disease requiring hospitalization | |
| unemployed or without regular income | |
| Elderly and/or elderly and single | |

| | | |
|---------------------------------------|--|--|
| Member of ethnic minority (e.g. Roma) | | |
| Other problem (specify) | | |

Indicate the number of affected household members in section 2 in the relevant table

7.1. Total household income

| | |
|--|--|
| Which of the following categories does the average total monthly income of your whole household fall in: | |
| Less than 10, 000 RSD | |
| Between 10.000 and 40, 000RSD | |
| Between 40,000 and 70,000 RSD | |
| More than 70,000 RSD | |

In case there are several household members earning the income, please consolidate them together

| | | | |
|--|--|--------------------------------|--|
| Amongst the following, what are your main sources of income: | | | |
| Salaries | | Pensions | |
| Personal agricultural production | | Government or other assistance | |
| Small business | | Other (specify): | |
| Remittances | | Other (specify): | |

Tick appropriate box

7.2 Total Monthly expenditure and categories

| | |
|--|--|
| Which of the following categories does the average total monthly spending of your whole household fall in: | |
| Less than 10, 000 RSD | |
| Between 10.000 and 40, 000RSD | |
| Between 40,000 and 70,000 RSD | |
| More than 70,000 RSD | |
| | |
| | |
| | |

In case there are several household members earning the income, please consolidate them together

| | |
|---|--|
| Amongst the following, what are your main expenditure categories or assign a relevant range or amount | |
| Food and non-alcoholic beverages | |
| Housing related expenses (rent, water, electricity, gas, fuels, etc.) | |
| Food | |
| Furniture, household equipment and routine maintenance of the house | |
| Health | |
| Education | |
| Leisure and recreation | |

Tick appropriate box

7.2. Are you satisfied with your economic situation?

| | |
|------------------|--|
| Highly satisfied | |
|------------------|--|

| | |
|------------------------------------|--|
| Satisfied | |
| Neither satisfied nor dissatisfied | |
| Dissatisfied | |
| Highly dissatisfied | |

Tick applicable box

Anything you wish to add not covered? -----

THANK YOU!

Annex 2 - Grievance registration form

Reference No:

Full Name

Note: you can remain anonymous if you prefer, or request not to disclose your identity to the third parties without your consent. In case of anonymous grievances, the decision will be disclosed at the Projects website www.minpolj.rs and Municipality site: <http://www.sremskamitrovica.rs/>

First name _____

Last name _____

I wish to raise my grievance anonymously

I request not to disclose my identity without my consent Contact Information Please mark how you wish to be contacted (mail, telephone, e-mail).

By Post: Please provide mailing address:

By Telephone: _____

By E-mail _____

I will follow up on the resolution at the website as I want to remain anonymous

Preferred Language for communication Serbian Other (*indicate*)

Description of Incident or Grievance (*What happened? Where did it happen? Who did it happen to? What is the result of the problem? Date of Incident/ Grievance*)

One-time incident/grievance (date _____)

Happened more than once (how many times? _____)

On-going (currently experiencing problem) What would you like to see happen to resolve the problem?

Signature: _____ Date: _____

Please return this form to: Municipality of Sremska Mitrovica

Annex 3 - Documentation from public consultation

СПИСАК ПРИСУТНИХ SPISAK PRISUTNIH Attendance sheet

| Предмет Сastанка: Првiмци Сastанка: Subject of meeting Socioekonomska analiza/ Социоекономска анализа/ PLAN RASELJAVANJA / ПЛАН РАСЕЉАВАЊА/ RESETTLEMENT PLAN | | Место одржаня сastанка: Место одржаня сastанка/ MZ MARTINCI, Radnička S. Martinci | |
|---|--|--|--|
| Пројекат: ПРОГРАМ ИНТЕГРИСАНОГ РАЗВОЈА КОРИДОРА РЕКЕ САВЕ И ДРИНЕ PROGRAM INTEGRISANOH RAZVOJA KORIDORA REKE SAVE I DRINE SAVA AND DRINA RIVER CORRIDORS INTEGRATED DEVELOPMENT PROGRAM | | Датум сastанка: Datum Sastanka/Date 16.10.2019 | |
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Figure 21 - Attendance sheet from October 16, 2019 Public consultations



Figure 22 - Photos from the Public consultation in Martinci, October 16 2019